On Wind-driven Energetics of Subtropical Gyres

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Key Points:

- Multiple-scale analysis of the wind-driven circulation argues the primary sink of energy occurs in the separated boundary current jet.
- Analyses of a 1/12° ocean simulation supports the theory; coastal topographic features play a secondary role.
- Subtropical gyre dynamics are largely inertial, recirculating large amount of energy in comparison to wind energy input.

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Abstract

The flow of energy in the wind-driven circulation is examined in a combined theoretical and numerical study. Based on a multiple-scales analysis, we find the mesoscale field in the ocean interior is strongly affected by, but does not feed back onto, the ventilated thermocline. In the western boundary region, the associated currents first appear as coastal jets, conserving mean energy, and later as separated jet extensions where the mesoscale is energized by the mean field. It is in the separated jet zone where the primary loss of general circulation energy to the mesoscale occurs. These ideas are tested by an analysis of a regional $1/12°$ primitive-equation numerical model of the North Atlantic. The predictions of the theory are generally supported by the numerical results. The one exception is that topographic irregularities in the coastal jet spawn eddies, although these eddies contribute modestly to the energy budget. We therefore conclude the primary sink of wind input into the mean circulation is in the separated jet, and not the interior. The analysis also shows wind energy input to be much smaller than the interior energy fluxes; thus, the general circulation largely recirculates energy.

Plain Language Summary

Atmospheric winds provide energy to the ocean general circulation through surface stress, forcing the so-called wind-driven oceanic gyres. Although the primary energy sink of this large-scale circulation is usually recognized to be energy transfers toward smaller scales, details remain unclear. In this paper, we argue that the ocean receives energy over the broader interior from the wind and recirculates that energy to the open ocean Gulf Stream, where it is lost to ocean eddies. We test these ideas by analyzing a $1/12°$, primitive-equations numerical simulation of the North Atlantic. The predictions of the theory are generally supported by the numerical results. Last, we note the energy moved in the general circulation greatly exceeds that added by the wind, implying the circulation acts like a flywheel. This very inertial character of the circulation resembles a classical model first recognized by Fofonoff in 1954.

1 Introduction

Ocean energy balance have received considerable recent attention, motivated in part by interest in ocean mixing. As discussed by Wunsch and Ferrari (2004) and Ferrari and Wunsch (2009), maintenance of ocean stratification requires mixing that, in turn, requires

energy. The rates of energy consumption by mixing consistent with the observed ocean stratification are thought to be $1-2$ Terawatts (TW; $1\text{TW} = 10^{12}$ W, St. Laurent & Simmons, 2006). High-frequency winds and tides can provide such power (e.g., Ferrari & Wunsch, 2009), and both are broadly accepted as being principally involved in ocean mixing.

Low-frequency winds globally also provide energy ($\leq 1\text{TW}$) to the ocean (Wunsch, 1998; Zhai et al., 2012), primarily driving large-scale horizontal circulations in the process. The input is divided geographically between the Southern Ocean, recipient of about 60% of the total, the equatorial Pacific and the subtropical gyres. A prevailing idea is that the large-scale circulation loses its energy to the mesoscale by means of geostrophic instabilities, with the associated flux to the mesoscale being roughly 1TW (Wunsch & Ferrari, 2004; Ferrari & Wunsch, 2009). The mean flow equilibration then consists of wind energy input balanced by loss to the mesoscale. The primary focus of this study is the subtropical gyres component of the overall energy story. Specifically, we examine how and where the wind-driven subtropical gyres achieve their equilibrium.

The role of the mesoscale in circulation dynamics has been a focus of numerical simulations since the studies of Holland and Lin (1975), Semtner and Mintz (1977), Robinson et al. (1977), and Holland (1978). Working in few layer, primitive equation and quasi-geostrophic environments, these early studies related the appearance of eddies to large-scale flow instabilities, and documented their role in developing deep mean circulations. The role of eddy energy was explored in the work by Harrison and Robinson (1978), where the importance of the recirculation to eddy development was noted. The paper by Holland (1978) provides a thorough discussion of basin integrated energy budgets in a quasi-geostrophic setting. More recently, the rich, detailed structure and exchange of energy between mean and eddy flows have been illustrated in primitive equation based North Atlantic simulations (Greatbatch et al., 2010). The paper by Zhai and Marshall (2013) focuses on vertical eddy pressure work in the North Atlantic, arguing that it plays a major role in balancing mean gyre wind forcing.

The effect of the mesoscale on the large-scale circulation has also been addressed *in situ*. An early examination is the classic paper by Gill et al. (1974), who used a combination of theory and observations to suggest that the interior westward mean flow of the North Atlantic subtropical gyre is an important site for eddy development. The au-

thors argued that the net release from the mean potential energy field to eddies via baroclinic instability appeared to be adequate to balance the net input of energy from the mean wind. These estimates were based on local, linearized quasi-geostrophic theory.

The present study departs from past efforts by a combination of theoretical and numerical examination which seeks to identify how the subtropical wind-driven circulation obtains a leading-order energy balance. We first provide a short review of past efforts for coupling Planetary Geostrophic and Quasi-Geostrophic equations leading the main motivations for the present study (Section 2.) A classical multiple-scale analysis of the ocean interior is then applied (Section 3 and Appendix A), and argues the local effects of eddies are relatively weak. Augmenting the analysis with an anisotropic western boundary layer suggests the western boundary current, along the ocean boundary, is also only weakly effected by the mesoscale. This leaves the open ocean extension of the Gulf Stream as primary location where the mean flow drains energy to the mesoscale. A North Atlantic numerical simulation is analyzed in Section 4 from the perspective of the theory and found to support it. It is in the desire to interpret large-scale circulation theory in terms of variable regional energy exchange with the mesoscale that this paper differs from previous examination of regional Gulf Stream energy budgets. A more classical, recent quantification of regional exchanges and connections to Lorenz energy cycles can be found in the study of Kang and Curchitser (2015). The paper concludes with a Summary and a discussion of potential future directions (Section 5).

2 Background

Pedlosky (1984, P84 hereafter), in an insightful contribution, attempted a synthesis of the two primary models of the wind-driven ocean general circulation, i.e. the ventilated thermocline (Luyten et al., 1983), framed in the '*Planetary Geostrophic*' (PG hereafter) equations, and homogenization theory (Rhines & Young, 1982), which employs the '*Quasi-Geostrophic*' (QG hereafter) equations. The scaling behind PG implies it is meant to describe basin scale motions (thousands of km), while the QG equation applies to smaller scale motions, typically of the order of the Rossby deformation radius (several tens of km). P84 performed the synthesis using a multiple-scale approach and found that the QG field was strongly affected at leading order by the PG field, but that no comparable feedback onto the PG field from the QG field occurred (see also Grooms et al., 2011). Only at higher order it is possible to locate feedbacks from the QG field onto the PG field.

The full implications of this result have not received much attention, particularly that the forced PG field, in its lack of interaction with the QG eddy field, does not have an obvious energy sink and thus suffers from an unbalanced energy budget. While it is possible that one or both of the ventilated thermocline or QG theory are flawed, both have a considerable literature behind them supporting their quantitative and qualitative utility.

A different possibility explored here is that the multiple-scale QG and ventilated thermocline theory is correct, but incomplete. This idea was first explored in Grooms et al. (2011) who elaborated on P84 by allowing for anisotropy. This extends P84 by recognizing that QG and PG are fundamentally zonally and meridionally isotropic in their scaling assumptions. In contrast, features like the Gulf Stream and the Kuroshio are not well described within either framework. Both are arguably features midway between PG and QG, characterized by the deformation radius in the cross-jet direction and the planetary scale in the along-jet direction. Grooms et al. (2011) show how involving anisotropy leads to equations where planetary scale and deformation radius scale motions can interact at leading order.

As a consequence, jets might be regions in which large-scale energy is extracted from the flow. Our present analysis supports this idea; however, it does so by emphasizing the role of the separated jet, and not the western intensified flow along the coast. This is implicit in some previous work, e.g. the maps of vertical pressure work in Zhai and Marshall (2013), the potential vorticity study of Deremble et al. (2014) and the momentum budgets proposed in the studies by Hughes and de Cuevas (2001) and Schoonover et al. (2016). We will capitalize on these results when developing an anisotropic boundary layer model to append to the ventilated thermocline interior. We depart from Grooms et al. (2011) by arguing that the coastal components of western boundary layers are only weakly eddying and focus on their open ocean extensions. These regions lie outside of both QG and PG regimes, although they involve mesoscale flow at leading order. It also emerges, from a theoretical point of view, that the separated jet appears as the most important region where the mesoscale grows at the expense of the mean state.

3 General Circulation Structure and Energy

We develop here a theoretical framework later analyzed in 4 by the means of quantitative analysis of the flow of energy in a North Atlantic simulation. Specifically the circulation is divided into subregions, each possessing a distinct dynamical character. The leading-order statements of energy balance in each region reflect the regional dynamics, which in turn provides a roadmap for examining the numerical results.

3.1 Regional Dynamics

As in P84, we analyze the hydrostatic, primitive equations of motion via a multiple-scales approach capitalizing on the space and time scale separation between Planetary Geostrophic (PG) and Quasi-Geostrophic (QG) dynamics. The analysis itself is somewhat lengthy; we therefore present the details in Appendix A and quote the primary results here.

The first result is that the ocean interior is governed by the well-known theory of the ventilated thermocline, i.e. the flow is PG, wind-forced and conservative of large-scale potential vorticity whose structure is set at outcrops. What the analysis adds to the classical demonstration of Luyten et al. (1983) is that the ventilated thermocline sets the environment housing a QG eddy field. In contrast, the QG field does not feed back on the ventilated thermocline. This is a result first seen in P84, and subsequently in Grooms et al. (2011), and so is not new, per se. What is new here is our interpretation of this result, which is that the ventilated thermocline is not energetically closed, i.e. the flow is forced but not damped. One must look elsewhere to determine how the circulation equilibrates.

An obvious location to expect energy loss is the western boundary layer, as it plays that role in classical general circulation theories. We probe this possibility through allowing for anisotropy in the analysis, following an approach first introduced in Grooms et al. (2011). Our approach and theirs differ in detail, and end up with different boundary layer structures as encapsulated in reduced equation sets. We argue the coastal Gulf Stream, constrained as it is by topography, is essentially an inertial boundary layer. It accepts a mass influx from the interior ventilated thermocline, and then simply redirects the flux along the coast to the point of separation. The principle time scales of the boundary jet are set by the interior, implying the mean flow does not lose energy to the rapid

167 mesoscale. This differs from the study by Grooms et al. (2011) who invoked a Gent-McWilliams

168 parameterization in the boundary layer to model mean flow to mesoscale transfers. Thus,

169 we conclude that the coastal Gulf Stream does not act as a sink of general circulation

170 energy and that energetic equilibration of the circulation occurs elsewhere.

171 Beyond the coastal Gulf Stream lies the separated jet region, which consists of a

172 jet-like coherent flow extending a few thousand kilometers into the interior. We argue

173 this region can not be described at leading order by a reduced set of equations, requir-

174 ing instead the full, primitive equations for its description. It is only in this area that

175 the mean circulation can transfer energy to the mesoscale at rates resulting in energetic

176 equilibration. The need for full, primitive equation dynamics underscores that at least

177 quantitatively, equilibration is poorly described by QG dynamics.

178 ### 3.2 Energy Considerations

179 The multiple-scale analysis in Appendix A breaks the circulation into the venti-

180 lated thermocline interior, the coastally confined boundary current and the open ocean

181 separated jet, and ascribes different roles to each. Because the large-scale flows in these

182 regions are theoretically governed by different leading-order dynamics, their energy ex-

183 pressions will differ. In the next section, we test this view of mean circulation energy in

184 a numerical model. To align the upcoming numerical results with the theoretical results,

185 we identify the time-mean, spatially-averaged flow of the numerical model with the large-

186 scale circulation described by the theory. The reasoning behind this is given in Appendix

187 B.

We work primarily with kinetic energy statements of the time averaged mean flow.
Representing the time averaging by $\overline{(\cdot)}^t$, fluctuations about the mean by primes $(\cdot)'$ and
vector multiplying the time averaged momentum equations by the time averaged veloc-
ities, the equation governing $\hat{K} = (\overline{u}^t)^2/2 + (\overline{v}^t)^2/2$ becomes

$$\frac{\partial}{\partial x_i}(\overline{u_i}^t \hat{K}) = -\overline{u}_I^t \frac{\partial}{\partial x_I}\overline{p}^t - \overline{\epsilon}^t + \frac{\partial}{\partial x_i}\nu\frac{\partial}{\partial x_i}\hat{K} - \overline{u_I}^t\frac{\partial}{\partial x_i}\overline{u_i'u_I'}^t, \tag{1}$$

where $\overline{p}^t$ is mean pressure, Einstein summation notation has been used, lower case i spans
all three dimensions and upper case I denotes only horizontal dimensions. The quan-
tity

$$\overline{\epsilon}^t = \nu\frac{\partial}{\partial x_i}\overline{u_I}^t\frac{\partial}{\partial x_i}\overline{u_I}^t \tag{2}$$

represents dissipation.

Manipulations involving the hydrostatic balance (see Appendix C) lead eventually to

$$\frac{\partial}{\partial x_i}(\overline{u_i}^t(\hat{K} + \overline{p}^t) + \overline{u_i h}^t - \nu\frac{\partial}{\partial x_i}\hat{K}) = -\overline{\epsilon}^t - \overline{u_I}^t\frac{\partial}{\partial x_i}\overline{u_i' u_I'}^t - \overline{w'b'}^t. \tag{3}$$

where h is dynamic enthalpy (Young, 2010)

$$h = \int_{P-o}^{P} \frac{b(\theta, S, P)}{g\rho_o}dP \tag{4}$$

and $\overline{w'b'}^t$ denotes the vertical buoyancy flux associated with the eddies. A full derivation of (3) appears in Appendix C.

The left hand side of (3), being a divergence, becomes a statement about boundary fluxes when integrated over some volume. The right hand side, in addition to dissipation, describes processes by which the mean and eddy fields can exchange energy within the volume of integration. In the following, we will often refer to the total eddy exchange as **MEC = BMEC + CMEC**, with

$$\begin{aligned}
\text{BMEC} &= -\overline{u_I}^t\frac{\partial}{\partial x_i}\overline{u_i' u_I'}^t, \\
\text{CMEC} &= -\overline{w'b'}^t, \\
\text{MEC} &= -\overline{u_I}^t\frac{\partial}{\partial x_i}\overline{u_i' u_I'}^t - \overline{w'b'}^t (= \text{BMEC} + \text{CMEC}).
\end{aligned} \tag{5}$$

The quantity BMEC (**B**arotropic **M**ean to **E**ddy **C**onversion) is associated with eddy momentum flux divergence as a force on the mean momentum and CMEC (baro**C**linic **M**ean to **E**ddy **C**onversion) represents eddy conversions of potential energy to mean kinetic energy, often associated with baroclinic instability.

Forming a kinetic energy equation for the eddy field by subtracting the mean horizontal momentum equations from the full momentum equations, vector multiplying the result by perturbation velocity and averaging shows that eddy kinetic energy (defined by $\overline{K'}^t = 0.5(\overline{u'^2 + v'^2}^t)$) receives energy inside a domain via $-\text{MEC}$, supporting the interpretation of eddy-to-mean flow exchanges.

205   ### 3.3 Regional Energy Balances

The three general circulation regions suggested by our theory are the ventilated thermocline interior, the coastal jet and the separated jet. The interior is primarily geostrophic and forced by the wind. Upon a volume integration, the leading order energy statement there becomes (see Appendix B)

$$\int_S \overline{\boldsymbol{u}}^t(\overline{p}^t + \overline{h}^t) \cdot \boldsymbol{n}\, dS = \int_A \overline{\boldsymbol{u}}^t(0) \cdot \overline{\boldsymbol{\tau}}_o^t \, dA, \tag{6}$$

INTERIOR

206   where interior viscous dissipation has been ignored, $\boldsymbol{\tau}_o$ is the surface wind-stress, $\overline{\boldsymbol{u}}^t$ is
207   the three-dimensional velocity, $\overline{\boldsymbol{u}}^t(0)$ is the surface velocity and A is the ocean surface
208   part of the surface S bounding the volume V. Equivalently, mean potential energy en-
209   tering the domain V is modified by the wind stress at the surface, so that the total ex-
210   iting the domain differs by the net wind work.

The coastal jet region is somewhat richer, with geostrophy for the along coast flow and the full, steady momentum balance for the cross-shore flow. The resulting energy equation, when volume integrated over the coastal region, is

$$\int_S \overline{\boldsymbol{u}}^t \frac{(\overline{v}^t)^2}{2} \cdot \boldsymbol{n}\, dS = -\int_V \left(\overline{u}^t \frac{\partial}{\partial x}\overline{p}^t + \overline{v}^t \frac{\partial}{\partial y}\overline{p}^t \right) dV. \tag{7}$$

COASTAL JET

211   (see Appendix B for a derivation). The interpretation of (7) is quite straight-forward:
212   down or up pressure gradient flow will increase or decrease the kinetic energy flux.

We do not have a reduced set of equations to describe the open ocean jet, expecting instead that the full primitive equations are required, and therefore that (3) applies. Ignoring lateral viscous kinetic energy flux at the volume edge, a volume integration of (3) yields

$$\int_S \left[\overline{\boldsymbol{u}}^t \left(\hat{K} + \overline{p}^t \right) + \overline{\boldsymbol{u}h}^t \right] \cdot \boldsymbol{n}\, dS = \int_A \overline{\boldsymbol{u}}^t \cdot \overline{\boldsymbol{\tau}}_o^t \, dS - \int_V \overline{\epsilon}^t \, dV + \int_V \mathrm{MEC}\, dV, \tag{8}$$

SEPARATED JET

where we do not *a priori* expect any of the terms, aside from dissipation, to be small. Equation (8) argues the separated jet as a region where mean energy flux can be affected by exchange with the mesoscale because it is the only leading-order energy statement where the MEC term appears. The requirement of the full primitive equations to describe this area does suggest that the transfers will be at least quantitatively different than those described by QG dynamics, namely the instabilities will be of a generalized barotropic and baroclinic instability nature, with $O(1)$ Rossby numbers.

3.4 Numerical Considerations

The various contributions to (8) will be evaluated from a numerical model in the next section. Our method for diagnosing the kinetic energy budget to machine precision is outlined in Appendix D. We will refer to the various terms using the shorthand listed in Table 1, these being all the terms of the mean kinetic energy equation, as they appear in (8).

The calculation of BMEC requires some discussion. To insure its computation to machine precision, we initialize our model configuration, described in the next section, using time averaged fields and run the model for a few time steps. The momentum equations develop a time tendency, since the mean fields are not steady solutions of the equations. Referring to (1), the kinetic energy change associated with these momentum tendencies corresponds to the quantity $\overline{u_I}^t \frac{\partial}{\partial x_i} \overline{u_i' u_I'}^t = -\text{BMEC}$.

Finally, we are interested in the net mean wind forcing which, literally speaking, depends on the product of mean surface velocity and mean surface wind-stress. However, some of that energy input is locally dissipated in the surface mixed layer, and is unavailable to the general circulation. We show in Fig. 1 a vertically integrated viscous energy flux profile horizontally integrated over the North Atlantic subtropical gyre region studied in this paper. The displayed quantity, $V(z)$, is defined as

$$
\begin{aligned}
V(z) &= \int_A \int_z^0 \text{KEDISS} \, dz' dA = \int_A \overline{\boldsymbol{u}(0)}^t \cdot \overline{\boldsymbol{\tau_o}}^t dA \\
&\quad - \int_A \int_z^0 \bar{\epsilon}^t dz' dA - \int_A \overline{\boldsymbol{u}(z)}^t \cdot \overline{\boldsymbol{\tau}(z)}^t dA,
\end{aligned}
\tag{9}
$$

238 where lateral viscous fluxes have been ignored and $\boldsymbol{\tau} = \nu\boldsymbol{u}_z$. The topmost value (at the

239 base of the first grid cell) in Fig. 1 is the total wind work adjusted for dissipation and

240 flux through the bottom of the grid cell. Moving downward, a rapid increase in $V(z)$ is

241 seen, which is associated with decreasing local mixed layer dissipation and flux through

242 the deeper grid cell face. The profile at depths greater than roughly 25 m settles to a

243 slowly evolving structure, consistent with the profile being out of the intense surface dis-

244 sipation zone. We take the energy flux at a depth of roughly 25 m, computed accord-

245 ing to (9), as indicative of the net wind input to the large-scale circulation, and refer to

246 it in the discussion below as 'wind work' (WW). For the profile in Fig. 1, this is a value

247 of roughly 41 GW. Further, we will write KEDISS = WW + KEDA

$$\text{WW} = V(-25\text{m}) = \int_A \int_{-25\text{m}}^{0} \text{KEDISS } dz' dA$$
$$\text{KEDA} = \int_A \int_{-z_o}^{-25\text{m}} \text{KEDISS } dz' dA, \tag{10}$$

248 where $-z_o$ is 1000 m for reasons to be discussed shortly.

Acronym	Formulation	Description
DKEF	$\int_S \overline{\boldsymbol{u}}^t \hat{K} \cdot \boldsymbol{n} dS$	Divergence of Kinetic Energy Flux
DPW	$\int_S \overline{\boldsymbol{u}}^t \overline{p}^t \cdot \boldsymbol{n} dS$	Divergence of Pressure Work
DPEF	$\int_S \overline{\boldsymbol{u} h}^t \cdot \boldsymbol{n} dS$	Divergence of Potential Energy Flux
BMEC	$-\int_V \overline{u_I}^t \frac{\partial}{\partial x_i} \overline{u_i' u_I'}^t dV$	Mean-to-Eddy Conversion associated with
		eddy momentum flux divergence
CMEC	$-\int_V \overline{w'b'}^t dV$	Mean-to-Eddy Conversion associated with
		conversions of Potential Energy to mean Kinetic Energy
KEDISS	$\int_V (-\overline{\epsilon} + \nabla \cdot \nu \nabla \hat{K}) dV$	Kinetic Energy DISSipation
KEDISS = WW	$= \int_{-25\text{m}}^{0\text{m}} (-\overline{\epsilon} + \nabla \cdot \nu \nabla \hat{K}) dV$	Wind Work
+ KEDA	$+ \int_{-1000\text{m}}^{-25\text{m}} (-\overline{\epsilon} + \nabla \cdot \nu \nabla \hat{K}) dV$	Kinetic Energy Dissipation

Table 1. Summary of the mean Kinetic Energy budget terms of (8), and their associated ab-
breviations used in the text. The lower two lines define the respective contributions of surface
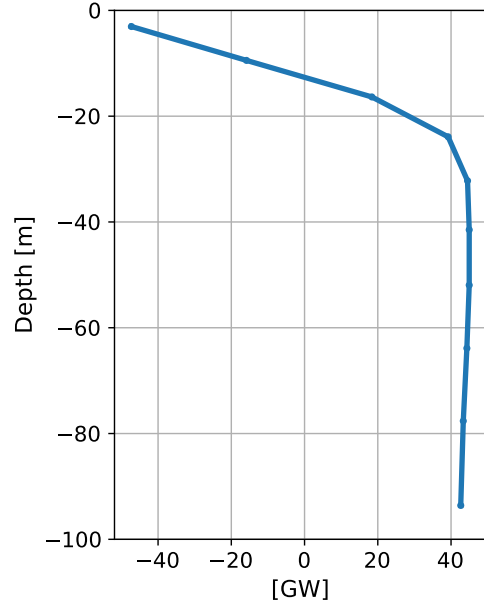wind work (WW) and interior dissipation (KEDA) to total KEDISS.

Figure 1. A net viscous energy flux profile integrated over the region of the subtropical North Atlantic gyre. The rapid increase moving downward away from the surface indicates the region of extreme mixed layer dissipation. At about 25 m, the profile settles to a slowly varying value of roughly 41 GW, which we take as the net work from the wind available to the wind driven circulation.

4 Numerical Results

4.1 Model Description

We analyze a regional configuration of the Massachusetts Institute of Technology General Circulation Model (MITgcm, Marshall et al., 1997). The horizontal resolution is $1/12°$ and 46 layers are used in the vertical, with vertical spacing ranging from 6 m at the surface to 250 m at depth. A resolution of $1/12°$ is generally recognized as 'eddy resolving', although the eddy activity is undoubtedly underestimated (Chassignet & Xu, 2017). Boundary conditions at $55°N$ and $20°S$ and at the Strait of Gibraltar are extracted from the $1/12°$ global Drakkar run ORAC12.L46-MJM88 (Molines et al., 2014; Serazin et al., 2015). Topography comes from the ORCA12.L46 configuration, which is a combination of ETOPO1 for the deep ocean and GEBCO_08 for shallow areas (Molines et al., 2014), a choice which also provided consistency with the boundary conditions. The model uses the modified UNESCO equation of state (Jackett & McDougall, 1995).

Tracer and momentum equations employed both Laplacian and biharmonic operators with coefficients of 20 m^2 s^{-1} and -10^{10} m^4 s^{-1}, respectively. At the surface, the ocean model was coupled to the atmospheric boundary layer package, CheapAML (Deremble et al., 2013), which computes wind-stress, latent flux and sensible flux according to the COARE3 (Fairall et al., 2003) flux formulae, as well as upwelled long-wave radiation. The Drakkar forcing data set, version 4.4 (DFS4.4) was used to produce atmospheric and radiative conditions, and precipitation from DFS5.3 was used due to higher time resolution. All atmospheric variables are strongly restored toward the prescribed DFS4.4 values over land. Surface forcing was applied every 6 hours and open boundary conditions every 5 days.

Initial conditions were obtained after a 6 year spin-up, consisting of a 5-year long run (1958-1962) under realistic forcing, with initial conditions derived from the oceanic state of the ORCA12.L46-MJM88 configuration on January 1st 1958, followed by an additional 1-year long simulation under 1963 forcing with perturbed initial conditions. This additional year of simulation was used to produce an ensemble (Jamet et al., 2019), one realization of which is used in this work. From the subsequent January 1st state, the configuration was integrated for 50 years (1963-2012), and this study focuses on a 26-year long segment (1970-1995) of this simulation.

Figure 2 shows the sea surface height for the North Atlantic sector. The Gulf Stream appears along the US eastern coast and its open ocean extension reaches out to a longitude of roughly 50°W. There is an SSH imprint of the Loop Current in the Gulf of Mexico.

We have segmented the ocean into several sectors, shown in Fig. 2, in which we perform our energy analysis. The six domains are referred to as the 'North Interior' (box 1), the 'South Interior' (box 2), the 'Carribean' (box 3), the Gulf of Mexico (box 4), the 'coastal Gulf Stream' (box 5) and the 'Separated Jet' (box 6). Boxes (1)-(3) capture the main body of the interior wind-driven circulation, dominated by a slow southwestward drift. The Gulf of Mexico (box 4) is in some way a transition zone between the interior and the development of the Gulf Stream jet. The Gulf Stream transit from the tip of South Florida to Cape Hatteras out to a reasonable distance from the coast is in the fifth box. The last box encompasses the region of the identifiable open ocean Gulf Stream, out roughly to a longitude of 55°W, as indicated by surface speed.

Note that boxes 3, 4 and 5 are simple rectangles. Boxes 1, 2 and 6 are more complicated for the following reasons. We have included the so-called 'Gulf Stream recirculation' or Worthington Gyre (Worthington, 1976) in the separated jet box as it is a feature whose existence depends on the separated jet variability and is clearly not part of the Sverdrup interior, as it the remainder of box 1. Similarly, the region housing the North Brazil Current retroflection is cut out of box 2 as those dynamics are not described well by geostrophy.
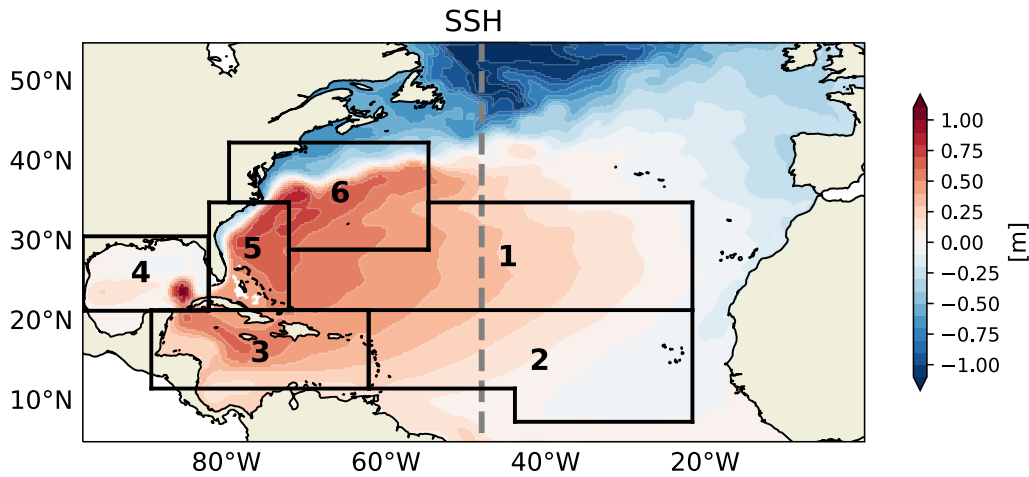

Figure 2. 1970-1995 time mean sea surface height in the North Atlantic sector of our model. The Gulf Stream and its open ocean extension are clearly visible as are other well-known Atlantic features. The meridional dashed grey line denotes the location of a north-south potential density transect appearing in the next figure. Six boxes appear which enclose the regions over which the energy equation is averaged.

We show in Fig. 3 a north-south transect of potential density taken along the meridional dashed grey line in Fig. 2. We observe the signature of a well-organized baroclinic gyre with an imprint of the eastward flowing Gulf Stream extension at about 40°N, and the bowl associated with the anticyclonic gyre south of the Gulf Stream. The nature of the isopycnals changes at a depth of roughly one kilometer, which we will take to be the vertical extent the wind-driven circulation. Consequently, the energy integrals discussed later extend to 1000 m in order to capture the wind-driven energetics.

The mean wind work distribution for the area appears in Fig. 4. The values are generally positive, indicating a net driving of the local circulation by the wind. We note

310 that the open ocean wind work inside of box 1 is relatively small. This is due to the tran-

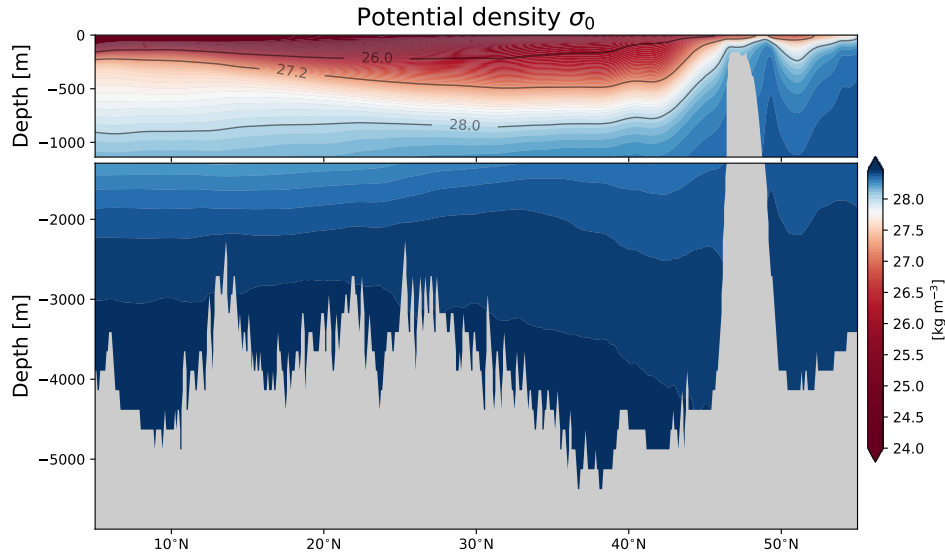311 sition of the mean wind from being westerly to easterly in this latitudinal band.

Figure 3. A transect of time mean potential density $\sigma_0 = (\rho(\theta, S, P = P_0) - 1000)kg\ m^{-3}$ for 1970-1995 through the North Atlantic at about $45°$W (the dashed gray line in Fig. 2). The Gulf Stream density structure appears at $40°$N. The wind-driven circulation penetrates to depths of roughly one kilometer.

312 In an integral sense we are motivated by a desire to understand how and where en-

313 ergy input to the general circulation from the wind exits the general circulation to the

314 mesoscale. The form of (8) is such that MEC represents the mesoscale sink, and we ex-

315 pect the net wind energy input over the gyre to be balanced by the net value of MEC

316 integrated over the gyre. In the previous section, we argued the net energy input over

317 the subtropical North Atlantic (all boxes in Fig. 4) is approximately 41 GW. We here

318 note that the MEC integrated over the same domain and to a depth of 1000 m gives a

319 value of roughly 43 GW which, while not identical to the total wind forcing, suggests this

320 quantity brings the general circulation approximately into energetic equilibrium.

321 **4.2 Regional Kinetic Energy Considerations**

322 Our strategy has been to consider the integrals in (8) over the boxes in Fig. 2 and

323 down to depths of one kilometer to confine the energy budgets to the wind-driven cir-

324 culation. All of the quantities in (8) have been evaluated, from which we infer leading-
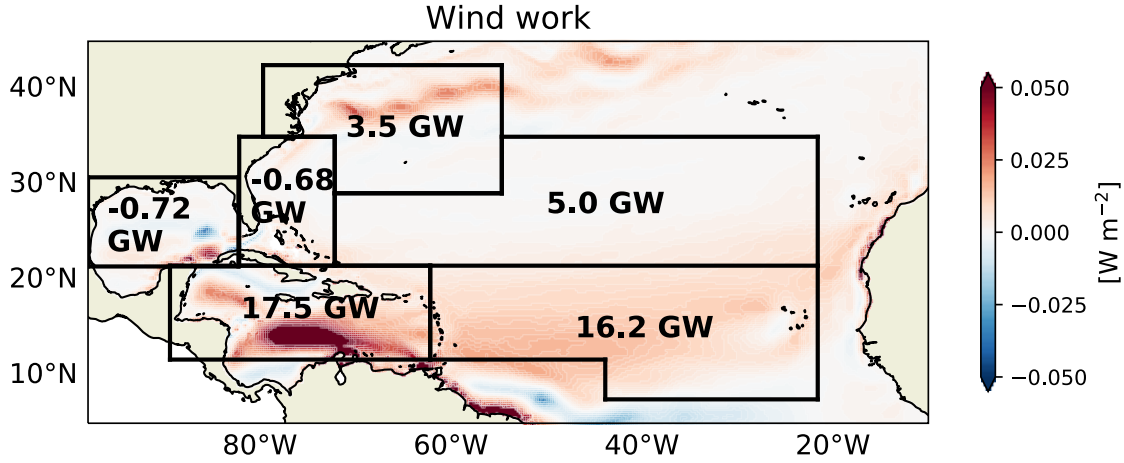
Figure 4. The distribution for surface Wind Work (WW, $\boldsymbol{u} \cdot \boldsymbol{\tau}$) over the North Atlantic. The values are generally positive. The numbers are the net wind work in each of the boxes appearing in Fig. 2. We note for later discussion that the central part of the gyre in box 1 is a region of minimal wind work, due to the reversal of the winds from primarily westerly to easterly.

order balances. A summary of the results appears in Table 2. For simplicity, DPW and DPEF have been combined together. They appear separately in Table 2 from which it is seen that always have opposite signs and comparable magnitudes; we interpret their sum as the 'effective' potential energy flux. Similarly, BMEC and CMEC are combined together since they both contribute to mean-to-eddy energy exchanges.

The evaluation of (8) in the interior boxes 1 through 4 breaks down respectively as

$$
\begin{array}{ccccccccc}
\displaystyle\int_S \left(\overline{\boldsymbol{u}}^t \hat{K} + \overline{\boldsymbol{u}}^t \overline{p}^t + \overline{\boldsymbol{uh}}^t \right) \cdot \boldsymbol{n}\, dS & = & \displaystyle\int_S \overline{\boldsymbol{u}}_o^t \cdot \overline{\boldsymbol{\tau}}_o^t\, dA & - & \displaystyle\int_V \overline{\epsilon}^t\, dV & + & \displaystyle\int_V \mathrm{MEC}\; dV \\[2mm]
\mathrm{DKEF} \;+\; \mathrm{DPW} + \mathrm{DPEF} & = & \mathrm{WW} & + & \mathrm{KEDA} & + & \mathrm{MEC} \\[1mm]
0.0\,\mathrm{GW} \;-\; 0.6\,\mathrm{GW} & = & 5.0\,\mathrm{GW} & - & 0.01\,\mathrm{GW} & - & 5.6\,\mathrm{GW} \\[1mm]
0.03\,\mathrm{GW} \;+\; 19.0\,\mathrm{GW} & = & 16.2\,\mathrm{GW} & - & 0.06\,\mathrm{GW} & + & 3.2\,\mathrm{GW} \\[1mm]
4.4\,\mathrm{GW} \;+\; 8.0\,\mathrm{GW} & = & 17.5\,\mathrm{GW} & - & 2.2\,\mathrm{GW} & - & 2.5\,\mathrm{GW} \\[1mm]
0.3\,\mathrm{GW} \;-\; 8.1\,\mathrm{GW} & = & -0.72\,\mathrm{GW} & - & 3.0\,\mathrm{GW} & - & 4.1\,\mathrm{GW}
\end{array}
\tag{11}
$$

Quite noticeable is that DKEF is very small in boxes 1, 2 and 4. It is somewhat larger in box 3 but, as shown later, all the divergence comes up against the Caribbean island arc near 60°W, where the circulation compacts as it flows through the island to-

Box Name	N Int	S Int	Carribean	GOM	Coastal	Jet
Box Number	1	2	3	4	5	6
Formulation						
DKEF $= \int_S \overline{\boldsymbol{u}}^t \hat{K} \cdot \boldsymbol{n} dS$	0.0	0.03	4.4	0.3	19.8	-26.4
DPW $= \int_S \overline{\boldsymbol{u}}^t \overline{p}^t \cdot \boldsymbol{n} dS$	131.0	233.0	199	-75.6	-186.0	92.4
DPEF $= \int_S \overline{\boldsymbol{u}h}^t \cdot \boldsymbol{n} dS$	-131.6	-214.0	-191	67.5	145.0	-84.5
DPW + DPEF	-0.6	19.0	8	-8.1	-41.0	7.9
WW $= \int_A \overline{\boldsymbol{u}}^t\vert_{z=0} \cdot \overline{\boldsymbol{\tau}}_o^t dS$	5.0	16.2	17.5	-0.72	-0.68	3.5
KEDA $= -\int_V \overline{\epsilon}^t dV$	-0.01	-0.06	-2.20	-3.0	-6.80	-.76
BMEC $= -\int_V \overline{u_I}^t \frac{\partial}{\partial x_i} \overline{u_i' u_I'} dV$	-0.2	5.3	1.7	-4.4	-11.9	-14.8
CMEC $= -\int_V \overline{w'b'}^t dV$	-5.4	-2.1	-4.2	0.27	-1.4	-6.5
MEC	-5.6	3.2	-2.5	-4.1	-13.3	-21.3

Table 2. Regional Kinetic Energy Contributions (GW), evaluated as the volume integration of the various terms of the mean kinetic energy budget listed in Table 1. The fourth line reflects that DPW and DPEF are always of opposite sign and of comparable magnitude, such that we interpret their sum as the effective potential energy flux. Similarly, BMEC and CMEC are combined together in the last line of the table. These numbers also appear in (11)-(13).

pographic obstacles. In general, away from topography, kinetic energy is an unimportant player in the interior, which is expected from a largely geostrophic flow. In box 2, the net wind work is largely balanced by the effective potential energy flux divergence. Away from the archipelago, the same holds for the Caribbean box. A seeming exception is box 1, where potential energy divergence is very weak, and wind work is largely balanced by MEC. On the other hand, this is the weakest area of wind forcing of the subtropical gyre, with an input of 5GW, as compared to 17.5GW in box 3. The relative change in box 2 and 3 in wind work is matched by the changes in net potential energy flux divergence, whereas MEC remains comparable.

The weak values of MEC for boxes 1 to 3 are consistent with the predictions of ventilated thermocline theory. There are eddies and mesoscale events in the ocean interior, but the mean to eddy energy conversions associated with them are of secondary importance. Generally, net potential energy flux divergence balances wind work. MEC is also

weak in the Gulf of Mexico although it is not a region of ventilated thermocline dynamics (wind work is negligible).

Moving to box 5, the coastal Gulf Stream, the energy contributions are

$$\int_S \left(\overline{\boldsymbol{u}}^t \hat{K} + \overline{\boldsymbol{u}}^t \overline{p}^t + \overline{\boldsymbol{u}h}^t \right) \cdot \boldsymbol{n} dS = \int_S \overline{\boldsymbol{u}}^t_o \cdot \overline{\boldsymbol{\tau}}^t_o dA - \int_V \bar{\epsilon}^t dV + \int_V \mathrm{MEC} dV$$

$$\mathrm{DKEF} + \mathrm{DPW} + \mathrm{DPEF} = \mathrm{WW} + \mathrm{KEDA} + \mathrm{MEC}$$

$$19.8 \text{ GW} - 41 \text{ GW} = -0.68 \text{ GW} - 6.8 \text{ GW} - 13.3 \text{ GW}. \qquad (12)$$

It is clear the regional energy structure differs considerably from the open ocean. First, the net wind work is small, consistent with western boundary scaling. The most important contrast, however, is that the net potential energy flux divergence ($-41\ GW$) has changed sign relative to the interior, now being negative. Further, this value of -41 GW is quite close in value to $\overline{u}^t \overline{p}^t_x + \overline{v}^t \overline{p}^t_y$, which is related to kinetic energy growth by flow down the mean pressure gradient (B18). Another major change is that the kinetic energy flux divergence has now become of leading-order importance. Further, it is positive, indicative of an increase in total flux as one proceeds from the tip of South Florida to Cape Hatteras. While its growth does not account completely for the net potential energy flux, it is comparable (roughly 50%). These two points are supportive of the multiple scales boundary layer analysis discussed in the previous section. We do note, however, that dissipation and mean to eddy energy conversion are both non-negligible in the region. The importance of dissipation is consistent with the fact that topography everywhere interacts with the current in this region. The mean to eddy energy conversion is smaller than the growth in kinetic energy flux by roughly a factor of two, although it is still a sizable contribution to the overall energy budget. This does not appear in the theoretical analysis. However, we note that the principle locations of MEC in box 5 are at topographic irregularities, the largest being the Charleston Bump, and the theory neglected along stream topographic variations.

In the separated jet zone, box 6, the contributions to the energy equation parse according to

$$\int_S \left(\overline{\boldsymbol{u}}^t \hat{K} + \overline{\boldsymbol{u}}^t \overline{p}^t + \overline{\boldsymbol{u}h}^t \right) \cdot \boldsymbol{n} dS = \int_S \overline{\boldsymbol{u}}^t_o \cdot \overline{\boldsymbol{\tau}}^t_o dA - \int_V \bar{\epsilon}^t dV + \int_V \mathrm{MEC} dV$$

$$\mathrm{DKEF} + \mathrm{DPW} + \mathrm{DPEF} = \mathrm{WW} + \mathrm{KEDA} + \mathrm{MEC}$$

$$-26.4 \text{ GW} + 7.9 \text{ GW} = 3.5 \text{ GW} - 0.76 \text{ GW} - 21.3 \text{ GW}. \qquad (13)$$

372   The net potential energy flux (7.9 GW) has changed sign from the coastal Gulf Stream

373   jet and is consistent with a flow up the mean pressure gradient. The kinetic energy flux

374   divergence is now negative, indicating a local decrease in kinetic energy flux, and both

375   these quantities are leading-order contributors to the regional balance. This is consis-

376   tent with a recycling of energy, namely energy released to kinetic energy by an effectively

377   down-gradient flow along the coast is now moving back up-gradient into potential en-

378   ergy. Equally significant, however, is MEC, which is consistent with a loss of mean en-

379   ergy to the eddy field. We show in Fig. 5 (top) a map of net MEC integrated over the

380   upper 1000 m in the region of the Gulf Stream separation. The structure here is dom-

381   inated by a sequence of alternating highs and lows that decrease in amplitude with in-

382   creasing separation from Cape Hatteras. The cumulative net conversion moving from

383   the west side of the box to the east side appears in Fig. 5 (bottom), where it is seen that

384   immediately downstream of Cape Hatteras loss to the mesoscale grows dramatically to

385   losses greater than −35 GW. Thereafter, there is a slow increase in amplitude, consis-

386   tent with a conversion back to the mean field from the mesoscale and a decreasing ten-

387   dency for the Gulf Stream to meander. The net effect of −14 GW reflects a consider-

388   able energy exchange in both directions although the final value represents a loss of mean

389   energy to the mesoscale field.

390   A final comment is that the total mean to eddy conversion occurring over the coastal

391   and separated Gulf Stream is roughly −34.6 GW (BMEC+CMEC, boxes 5, 6) which

392   accounts for most of the total wind forcing of the subtropical North Atlantic region, ≈

393   41 GW (WW, all boxes). Given that the total MEC integrated over the broader North

394   Atlantic is roughly −43 GW (BMEC+CMEC, all boxes), the coastal and separated jets

395   clearly dominate the conversion. We do not claim that these numbers match each other,

396   merely that their rough correspondence argues that bulk of the energetics equilibration

397   of the North Atlantic mean circulation occurs in these zones, with net mean forcing leav-

398   ing the mean flow to enter the mesoscale field.

4.3 Potential to Kinetic Energy Conversions

400   It is of interest that the two conversion terms, BMEC and CMEC, are of compa-

401   rable strength in the subtropical North Atlantic. In the separated jet box 6, BMEC is

402   −14.8 GW, while CMEC is −6.5 GW. What is notable about this is CMEC is usually

403   associated with baroclinic instability, and is thought to be the dominant geostrophic in-
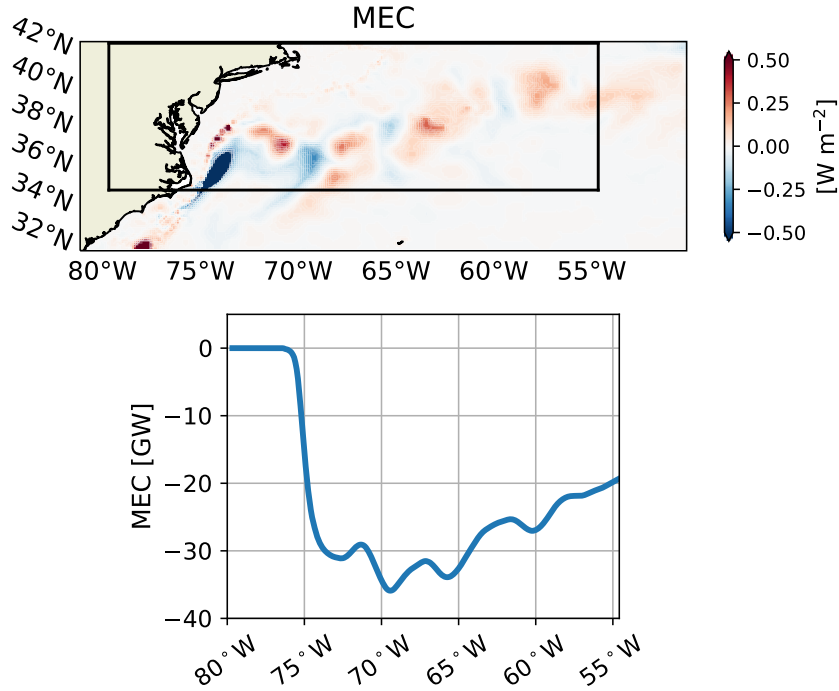
Figure 5. A map of MEC integrated to 1000 m in the vicinity of the jet separation point appears in the top panel. The outlines of the US east coast appear in black. The contours are in W m^{-2}. A large maximum in loss to the mesoscale is seen immediately downstream of Cape Hatteras and followed by a sequence of undulations that decay with distance downstream. The bottom panel contains a plot of the cumulative net conversion from mean to eddies starting at the western end of the box on top panel. Note the rapid decline to values in excess of -35GW followed by a slower increase.

stability in the ocean. While it is more widespread in distribution over the gyre than BMEC, certainly in the separated jet zone, it is weaker than the barotropic processes. Over the entire North Atlantic gyre, BMEC $= -24.3$ GW and CMEC $= -19.3$ GW (all boxes).

4.4 Is the General Circulation Generally Inertial?

We now point out one last feature of the general circulation kinetic energy budget that falls slightly out of the above framework, but which we feel merits mention. From (3), the net flux of mean potential energy in the interior is given by

$$\text{mean PE flux} = \overline{\boldsymbol{u}}^t \overline{p}^t + \overline{\boldsymbol{u}h}^t \tag{14}$$

to the neglect of diabatic and non-hydrostatic quantities. The analysis of the open ocean results have argued that the divergence of the effective potential energy flux, i.e. DPW+DPEF, is roughly in balance with the open ocean wind energy input, in keeping with ventilated thermocline dynamics. What we want to emphasize is that this divergence is small compared to the amplitude of the participant fluxes. This appears in Fig. 6 which plots the latitudinal dependence of the net meridional flux $\overline{v}^t\overline{p}^t + \overline{vh}^t$ integrated over longitude and the longitudinal dependence of zonal flux $\overline{u}^t\overline{p}^t + \overline{uh}^t$ integrated over latitude in boxes 1 and 2. The total fluxes are as large as -70 GW, i.e. much larger in value compared to either the local wind energy inputs or net divergences ($O(10\text{GW})$). The implication of this is that the interior geostrophic ventilated thermocline is dominantly recirculating energy. This is the earmark of an inertial, interior, geostrophic circulation.
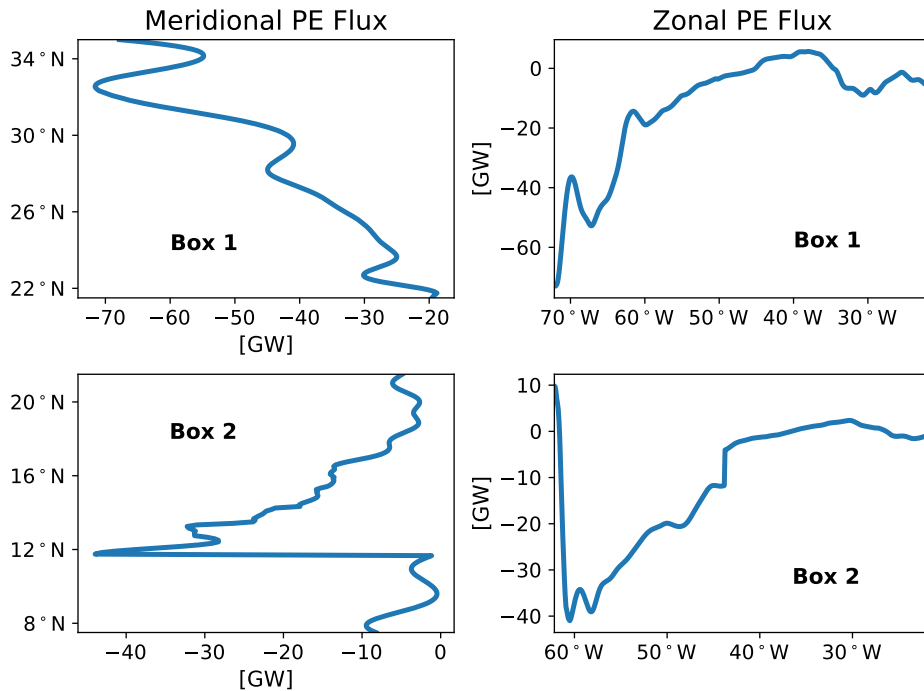

Figure 6. Zonal and meridional effective potential energy fluxes integrated across interior boxes 1 and 2. The total fluxes are several tens of GW which is significantly larger that either the local wind energy inputs or the effective potential energy flux divergences. Note also that the zonal flux in box 2 drops off dramatically at the Caribbean archipelago near 60W. This feeds the increase in DKEF seen in box 2.

5 Summary

We have attempted in this paper to construct a comprehensive energy picture of the mean subtropical gyres. This is motivated by the current interest in detailing ocean energy broadly speaking and the idea that approximately $\leq 1\text{TW}$ flows from the large-scale, low-frequency winds to the oceanic mesoscale. We argue by means of a multiple-scale analysis that the interior receives the bulk of the wind forcing, but is not the location where the mean circulation loses energy. We then analyze an anisotropic western boundary current that leads to coastal jets fed by the ventilated thermocline. These inertial jets accelerate at the expense of pressure work. Coastal jets are connected downstream to open ocean jets that require full primitive equation dynamics for their description. As such they are the only places that can involve mean flow and mesoscale interactions.

We next analyze an eddy-resolving model of the North Atlantic, identifying the time mean flow with the large-scale flow of the theory. We find the ocean interior is consistent with the ventilated thermocline and exhibits minimal energy loss to the mesoscale while largely recycling energy. In the coastal Gulf Stream, kinetic energy flux grows due to net pressure work, which is in agreement with our theory. Our analysis also finds non-trivial dissipation and mean to eddy energy conversion, but these are smaller than the exchanges between kinetic energy and pressure work. The coastal mesoscale generation appears to have topographic origins. The open ocean Gulf Stream emerges as the location of the largest and most dynamic mean-to-eddy energy conversion, involving exchanges with eddies of both signs and an associated flow up the mean pressure gradient. The dynamics of this area are rich and at best only qualitatively described by QG dynamics.

Fofonoff (1981) in a review of the Gulf Stream system proposed a North Atlantic energy structure much like that seen here. He suggested that wind forcing in the interior largely acted to increase the large-scale potential energy flux, and that Gulf Stream acceleration came at the expense of the large-scale pressure gradient. He also identified the separated jet as a region of energy recycling caused by mean flow in the direction of increasing pressure. To this picture we add an eddying component to the open ocean Gulf Stream and suggest the energy recycling includes the ocean interior as well. That Fofonoff was able to infer this structure from the observations and model results available over thirty years ago is testament to his remarkable insight into ocean dynamics.

451   We argue in this paper that the separated jet is the principal area of mesoscale gen-

452   eration by geostrophic instabilities of the mean flow. Inasmuch as wind-driven gyres are

453   a common feature of the mid-latitude general circulation, this analysis may well apply

454   beyond the North Atlantic where the numerical study has been confined. To further clar-

455   ify oceanic wind-driven energy, focused studies in such regions might well be fruitful av-

456   enues for pursuit.

457   **Appendix A   Multiple-scales Analysis of the Wind-Driven Circulation**

458   The purpose of this appendix is to present the details of the multiple-scales anal-

459   ysis resulting in our segmented view of the general circulation. The interior analysis ap-

460   pears first, followed by the coastal jet analysis. We begin with the hydrostatic equations

461   of motion written in buoyancy coordinates (Bleck & Chassignet, 1994), assuming a lin-

462   ear equation of state in which we have only a single thermodynamic variable.

$$\frac{\partial}{\partial t}u + u\frac{\partial}{\partial x}u + v\frac{\partial}{\partial y}u - fv = -\frac{\partial}{\partial x}M + \frac{1}{\frac{\partial}{\partial b}z}\nabla \cdot \boldsymbol{D}^x$$
$$\frac{\partial}{\partial t}v + u\frac{\partial}{\partial x}v + v\frac{\partial}{\partial y}v + fu = -\frac{\partial}{\partial y}M + \frac{1}{\frac{\partial}{\partial b}z}\nabla \cdot \boldsymbol{D}^y$$
$$\frac{\partial}{\partial b}M = -z \qquad (A1)$$
$$\frac{\partial}{\partial t}\frac{\partial}{\partial b}z + \frac{\partial}{\partial x}(u\frac{\partial}{\partial b}z) + \frac{\partial}{\partial y}(v\frac{\partial}{\partial b}z) = 0,$$

463   where M is the Montgomery potential, $M = p - bz$ where p is pressure (Montgomery,

464   1937), b is buoyancy, $\boldsymbol{D}^x$, $\boldsymbol{D}^y$ are zonal and meridional, three dimensional, viscous fluxes,

465   diffusive effects are ignored and the notation is otherwise standard. The equations are

466   then non-dimensionalized using the planetary length scale, $L_\beta = f_o/\beta$ (where f_o and

467   β are typical values for the Coriolis parameter and its meridional derivative) and a spec-

468   ified surface buoyancy range. The buoyancy range is used to define the deformation ra-

469   dius, from which a time scale representing the time needed for a planetary wave to cross

470   the basin is derived (see (A3)) . Pressure scaling is obtained from the hydrostatic bal-

471   ance using the Welander (1959) thermocline thickness scaling. After non-dimensionalization,

(A1) become

$$R_o(\frac{\partial}{\partial T}u + u\frac{\partial}{\partial X}u + v\frac{\partial}{\partial Y}u) - fv = -\frac{\partial}{\partial X}M$$
$$R_o(\frac{\partial}{\partial T}v + u\frac{\partial}{\partial X}v + v\frac{\partial}{\partial Y}v) + fu = -\frac{\partial}{\partial Y}M$$
$$\frac{\partial}{\partial b}M = -z \qquad \text{(A2)}$$
$$\frac{\partial}{\partial T}\frac{\partial}{\partial b}z + \frac{\partial}{\partial X}(u\frac{\partial}{\partial b}z) + \frac{\partial}{\partial Y}(v\frac{\partial}{\partial b}z) = 0,$$

where $R_o = U/(f_o L_\beta)$ is the Rossby number (with velocity scale U) and frictional effects have been ignored. The quantity f is now nondimensionalized by f_o but a function of meridional location Y. The independent variables X, Y denote zonal and meridional location as scaled by the planetary length scale and T non-dimensional time as scaled on the wave basin transit time. Defining the deformation radius, R_d, as

$$R_d^2 = \frac{g\Delta\rho H}{\rho_o f_o^2} = \frac{\Delta b H}{f_o^2}, \qquad \text{(A3)}$$

where $\Delta\rho$ is the assumed known surface density variation and ρ_o a reference density, the Rossby number turns out to be

$$R_o = \frac{R_d^2}{L_\beta^2}. \qquad \text{(A4)}$$

At leading order, (A2) return the planetary geostrophic equations. To enrich them in a search for their interactions with smaller deformation radius motions, a standard multiple scales approach is used, which involves replacing all the derivatives with their multiple scale versions, i.e.

$$\frac{\partial}{\partial X} \rightarrow \frac{1}{\delta}\frac{\partial}{\partial x} + \frac{\partial}{\partial X}, \qquad \text{(A5)}$$

where δ is the ratio of the deformation radius to the planetary scale

$$\delta = \frac{R_d}{L_\beta} = \sqrt{R_o}, \qquad \text{(A6)}$$

and the lower case x now denotes a nondimensional small spatial scale. Substitutions like (A5) are carried out into (A2) in both space and time, reflecting that deformation scale dynamics are faster and shorter in scale than planetary motions. A key point here is that the planetary scale and the deformation scale have been introduced isotropically into the equations, i.e. mesoscale variability is assumed to scale with the deformation radius in both horizontal directions, and PG dynamics with L_β in a similar way.

The next step is to expand all variables in the small parameter δ and exploit the implied scale separations in space and time by averaging procedures. It turns out at leading order, the Montgomery potential depends only on the buoyancy, the long spatial scale and the slow temporal scale, $M_o = M_o(X, Y, b, T)$, while geostrophy involves both large and small scales

$$f(Y)u_o = -\frac{\partial}{\partial y}M_1 - \frac{\partial}{\partial Y}M_o$$
$$-f(Y)v_o = -\frac{\partial}{\partial x}M_1 - \frac{\partial}{\partial X}M_o, \tag{A7}$$

where M_1 is the next order correction to the Montgomery potential. Consistent with (A7), deformation radius buoyancy anomalies are seen to be order Rossby number relative to the mean state stratification. A similar approach to the continuity equation, after expansion in δ, leads to

$$\frac{\partial}{\partial T}\frac{\partial}{\partial b}z_o + \frac{\partial}{\partial X}(u_o\frac{\partial}{\partial b}z_o) + \frac{\partial}{\partial Y}(v_o\frac{\partial}{\partial b}z_o) = \tag{A8}$$
$$-\frac{\partial}{\partial t}\frac{\partial}{\partial b}z_1 - \frac{\partial}{\partial x}(u_1\frac{\partial}{\partial b}z_o + u_o\frac{\partial}{\partial b}z_1) - \frac{\partial}{\partial y}(v_1\frac{\partial}{\partial b}z_o + v_o\frac{\partial}{\partial b}z_1). \tag{A9}$$

It is here that the lack of QG feeback onto the PG structure becomes apparent. This is illustrated by averaging (A8) over spatial scales large compared to the deformation radius, but small compared to the planetary scale, i.e.

$$\overline{\zeta} = \frac{1}{A}\int_A \zeta \, dxdy, \tag{A10}$$

where the overbar denotes a spatial average and A represents an area whose length scales in the meridional and zonal directions, L_A, are much larger than the deformation radius used to non-dimensionalize the short spatial scales. As explained in P84, such an integration over a divergence operator depending on the short spatial scales leads to a result that is $O(R_d/L_A) \ll 1$ asymptotically small, while quantities depending on the large-scale variables X, Y are untouched. Mathematical consistency requires such quantities must be separately equated to zero. This averaging is a standard multiple-scales procedure and should not be confused with other averaging procedures often employed in buoyancy coordinates, like 'thickness weighted averaging' (TWA), or 'transformed Eulerian mean' averaging (Gent & McWilliams, 1990; Plumb & Ferrari, 2005; Young, 2012), the objectives of which are different than those here.

The result of the averaging (A10) is

$$\frac{\partial}{\partial T}\frac{\partial}{\partial b}z_o + \frac{\partial}{\partial X}(\overline{u_o}\frac{\partial}{\partial b}z_o) + \frac{\partial}{\partial Y}(\overline{v_o}\frac{\partial}{\partial b}z_o) = -\overline{\frac{\partial}{\partial t}\frac{\partial}{\partial b}z_1}. \tag{A11}$$

The left hand side of (A11) is independent of the fast time, t, and so to suppress secular growth in time both sides must vanish independently. In addition, spatially averaging (A7) over lengths large compared to the deformation radius shows the averaged velocities are determined entirely by the leading-order Montgomery potential. Hence PG and the ventilated thermocline are obtained, but no connection to the QG fields is found.

In contrast, pushing the analysis further to clarify the QG dynamics returns

$$\frac{\partial}{\partial t}q + \overline{u_o}\frac{\partial}{\partial x}q + \overline{v_o}\frac{\partial}{\partial y}q + \frac{1}{f}J(M_1,q) + \frac{\frac{\partial}{\partial b}z_o}{f}\hat{J}(M_1, \frac{f}{\frac{\partial}{\partial b}z_o}) = 0, \tag{A12}$$

where

$$q = \frac{1}{f}\nabla^2 M_1 + f\frac{\frac{\partial^2}{\partial b^2}M_1}{\frac{\partial}{\partial b}z_o} \tag{A13}$$

is recognized as the usual QG potential vorticity, J denotes a Jacobian based on the fast spatial scales and $\hat{J}$ is defined by

$$\hat{J}(A,B) = \frac{\partial}{\partial x}A\frac{\partial}{\partial Y}B - \frac{\partial}{\partial y}A\frac{\partial}{\partial X}B. \tag{A14}$$

Note that in $(A12)$, the PG-controlled ventilated thermocline strongly affects the QG evolution. It provides a background advection of QG PV as well as defines a background potential vorticity field (the term $\frac{f}{\frac{\partial}{\partial b}z_o}$ in (A12)) upon which the QG evolution occurs. Thus PG feedbacks on QG are strong, while feedbacks in the other direction don't exist. This implies that the system does not have a balanced energy budget.

The interior eddy equation (A12) admits baroclinically and barotropically unstable eddies, but the analysis to this point argues that the associated energy conversions are weak compared to those needed to obtain a global energy balance. To obtain a consistent energy budget, the dynamics behind the analysis must be enriched. In this paper, of the several possible choices for enriching the equations, we explore the consequences of a particular one, and then appeal to the analysis of a circulation model to support that choice as relevant.

Specifically, we connect the mesoscale and PG structure at leading order by an explicit introduction of anisotropy into the analysis. This is motivated by the recognition of strong boundary currents on the west sides of ocean basins. These currents have short

⁵¹⁹ spatial scales in the across-current direction and long spatial scales in the along-current

⁵²⁰ direction, and are thus seen to fall somewhere between the primary scaling assumptions

⁵²¹ of the preceding multiple scale analysis. Further, and importantly, they violate essen-

⁵²² tial components of both QG and PG dynamics. First, the isopycnal depth anomalies oc-

⁵²³ curring in the boundary jets are leading order on short scales, i.e. isopycnals experience

⁵²⁴ $O(1)$ depth changes over a deformation radius. In addition, in the cross-stream direc-

⁵²⁵ tion, the Rossby numbers of the flow naturally are found to have O(1) values. Both char-

⁵²⁶ acteristics are strongly non-QG and strongly non-PG.

Recognizing the appearance of the anisotropy is forced by the boundaries, we consider appending a boundary layer to the interior. As is well known, boundary layer analyses involve rescaling the variables. If we consider the simplest case of a meridional boundary, then mass conservation requires the meridional flow be rescaled. Specifically, the interior southward mass flux scales like

$$Flux = HVL_\beta = f_o R_d^2 H, \tag{A15}$$

which requires a boundary layer flow of strength $f_o R_d$ if that mass is to be returned to the north over a width of the deformation radius. Thus the northward velocity in (A2) must be rescaled by a factor of δ^{-1} ($\hat{v} = \delta^{-1}v$). The anisotropy required by the boundary is brought into the analysis by introducing a fast spatial scale into the zonal coordinate only, i.e.

$$\frac{\partial}{\partial X} \rightarrow \frac{\partial}{\partial X} + \frac{1}{\delta}\frac{\partial}{\partial x}, \tag{A16}$$

⁵²⁷ while leaving the meridional derivatives untouched. Expanding the equations in δ as be-

⁵²⁸ fore yields the leading-order equations

$$f\hat{v}_o = \frac{\partial}{\partial x}M_o$$
$$\frac{\partial}{\partial t}\hat{v}_o + u_o\frac{\partial}{\partial x}\hat{v}_o + \hat{v}_o\frac{\partial}{\partial Y}\hat{v}_o + fu_o = -\frac{\partial}{\partial Y}M_o \tag{A17}$$
$$\frac{\partial}{\partial t}\frac{\partial}{\partial b}z_o + \frac{\partial}{\partial x}(u_o\frac{\partial}{\partial b}z_o) + \frac{\partial}{\partial Y}(\hat{v}_o\frac{\partial}{\partial b}z_o) = 0.$$

Straightforward manipulations of (A17) lead to

$$\frac{\partial}{\partial t}\hat{q} + u_o\frac{\partial}{\partial x}\hat{q} + \hat{v}_o\frac{\partial}{\partial Y}\hat{q} = 0, \tag{A18}$$

where

$$\hat{q} = \frac{\frac{\partial}{\partial x}\hat{v}_o + f}{\frac{\partial}{\partial b}z_o}. \tag{A19}$$

Eq. (A18) is recognized as conservation of potential vorticity by fluid parcels in the boundary layer, where boundary layer potential vorticity is given by (A19). Exploiting hydrostatic dynamics, (A19) can be rewritten as

$$\frac{\partial}{\partial x}\frac{\partial}{\partial x}M_o + f\hat{q}\frac{\partial}{\partial b}\frac{\partial}{\partial b}M_o = f^2, \tag{A20}$$

which should be recognized as an elliptic equation for M_o. Such equations, subject to conditions on the boundary and a far field value for M_o set by the interior, can be inverted to give a unique answer. The simplicity and clarity of (A20) provide one of the strongest motivations for conducting this analysis in buoyancy coordinates. Knowing M_o determines $\hat{v}_o$ by geostrophy. Recalling that the interior leading-order thermocline is independent of rapid time t, solutions of (A18) independent of t are sought. Thus u_o can be inferred from (A17 b) and boundary layer mass flux can be expressed by a streamfunction

$$\frac{\partial}{\partial x}\psi = \hat{v}_o\frac{\partial}{\partial b}z_o$$
$$-\frac{\partial}{\partial Y}\psi = u_o\frac{\partial}{\partial b}z_o, \tag{A21}$$

so $\hat{q} = \hat{q}(\psi)$. Equivalently, the boundary layer PV is determined by the interior PV. These equations essentially represent the addition of an inertial boundary layer to the ventilated thermocline.

This boundary layer analysis applies to currents along western ocean coasts. Such currents are not, however, confined to the coasts; rather, they separate from lateral topography and move into the ocean interior retaining their identity as a jet for considerable downstream distances. While there is some degree of anisotropy associated with such currents, numerical solutions and observations both show that the jet evolution is quite complex. It is not therefore clear if a reduced set of equations can be obtained that fully describe the leading-order behavior of separated jets. It appears that the full primitive equations are required, although the geographical location at which the jet source is located is known.

Appendix B   The Connection Between Buoyancy Coordinates and Geopotential Coordinates and Regional Energetics

We interpret the time-means u, v, w, and p from the model output with the large-scale variables of the multiple scales analysis, which is conducted in buoyancy coordi-

nates. In general, time averaging in these two coordinate systems are not the same, but

here the characteristics of the large-scale flow removes this issue, as we now show.

B1 Interior

For the isotropic, ocean interior, the theoretical leading-order momentum equations
are geostrophy, involving both large and small scales

$$-fv_o = -\frac{\partial}{\partial X}|_b M_o(X,\ Y,\ b) - \frac{\partial}{\partial x}|_b M_1 + \frac{\partial}{\partial z}\tau^x$$
$$fu_o = -\frac{\partial}{\partial Y}|_b M_o(X,\ Y,\ b) - \frac{\partial}{\partial y}|_b M_1 + \frac{\partial}{\partial z}\tau^y, \tag{B1}$$

where the leading-order Montgomery potential, $M_o = p_o - bz_o$ is a function only of
the long spatial scale coordinates, and the derivatives are taken along buoyancy surfaces,
as indicated in this appendix by the notation $|_b$. We have also replaced the vertical tur-
bulent stress with its form in geopotential coordinates, i.e. we have used

$$\frac{\frac{\partial}{\partial b}\tau^{x,y}}{\frac{\partial}{\partial b}z_o} = \frac{\partial}{\partial z}\tau^{x,y}. \tag{B2}$$

We have augmented geostrophy with vertical 'turbulent' momentum transport, which
is expected to be sizable only in the very near surface region where it conducts wind mo-
mentum into the fluid. Averaging this equation in space demonstrates that large-scale
flow is geostrophic and independent of the mesoscale

$$f\overline{v_o} = \frac{\partial}{\partial X}|_b M_o(X,\ Y,\ b) + \frac{\partial}{\partial z}\overline{\tau^x}$$
$$f\overline{u_o} = -\frac{\partial}{\partial Y}|_b M_o(X,\ Y,\ b) + \frac{\partial}{\partial z}\overline{\tau^y}, \tag{B3}$$

where the overbar $(\overline{x})$ denotes a spatial average. Expanding the Montgomery leads to

$$f\overline{v_o} = \frac{\partial}{\partial X}|_b p_o(X,\ Y,\ b) - b\frac{\partial}{\partial X}|_b z_o + \frac{\partial}{\partial z}\overline{\tau^x}$$
$$-f\overline{u_o} = -\frac{\partial}{\partial Y}|_b p_o(X,\ Y,\ b) + b\frac{\partial}{\partial Y}|_b z_o + \frac{\partial}{\partial z}\overline{\tau^y}. \tag{B4}$$

If we now define the function

$$b_o(X, Y, z_o(X, Y, b), t) = b, \tag{B5}$$

the quantity b in (B4) can be replaced by b_o and using the well-known formula for converting to geopotential coordinates from buoyancy coordinates

$$f\overline{v_o} = \frac{\partial}{\partial X}p_o(X,\ Y,\ z_o) + \frac{\partial}{\partial z_o}p_o\frac{\partial}{\partial X}|_b z_o - b_o\frac{\partial}{\partial X}|_b z_o + \frac{\partial}{\partial z}\overline{\tau^x}$$
$$-f\overline{u_o} = -\frac{\partial}{\partial Y}p_o(X,\ Y,\ z_o) - \frac{\partial}{\partial z_o}p_o\frac{\partial}{\partial Y}|_b z_o + b_o\frac{\partial}{\partial Y}|_b z_o + \frac{\partial}{\partial z}\overline{\tau^y}. \quad (B6)$$

With the hydrostatic equation

$$\frac{\partial}{\partial z}p_o = b, \quad (B7)$$

(B4) reduces to geostrophy, augmented by turbulent momentum transport.

In geopotential coordinates

$$f\overline{v_o} = \frac{\partial}{\partial X}p_o(X,\ Y,\ z) + \frac{\partial}{\partial z}\overline{\tau^x}$$
$$-f\overline{u_o} = -\frac{\partial}{\partial Y}p_o(X,\ Y,\ z) + \frac{\partial}{\partial z}\overline{\tau^y}. \quad (B8)$$

Vector multiplying by the large-scale horizontal velocities, which are the same in either coordinate system, leads to the interior energy equation (B11), provided that

$$\overline{u_o} = \overline{u}^t$$
$$\overline{v_o} = \overline{v}^t$$
$$\overline{p_o} = \overline{p}^t \quad (B9)$$

as we assumed.

B2 Interior Energy

Vector multiplying (B8) by $\overline{\boldsymbol{u}}^t$ and using (B9) yields

$$0 = -\nabla \cdot (\overline{\boldsymbol{u}}^t \overline{p}^t) + \overline{w}^t \overline{b}^t + \overline{u}^t\frac{\partial}{\partial z}\overline{\tau^x}^t + \overline{v}^t\frac{\partial}{\partial z}\overline{\tau^y}^t. \quad (B10)$$

A volume integration of (B10) gives

$$\int_S \overline{\boldsymbol{u}}^t(\overline{p}^t + \overline{h}^t)dV = \int_A \overline{\boldsymbol{u}}^t(0) \cdot \overline{\boldsymbol{\tau}}_o^t dA, \quad (B11)$$

where interior viscous dissipation has been ignored, $\boldsymbol{\tau}_o$ is the surface wind-stress, $\overline{\boldsymbol{u}}^t(0)$ is the surface velocity and A is the ocean surface part of the surface S bounding the volume V. We have also used the ventilated thermocline form of the tracer equations

$$\overline{\boldsymbol{u}}^t \cdot \nabla \overline{\chi}^t = 0, \quad (B12)$$

where χ is a tracer. Importantly, eddy tracer transports are neglected in (B12). The content of (B11) reflects classical Sverdrup dynamics: the net export of energy from the domain is provided by wind forcing. Note the absence of kinetic energy flux divergence, consistent with the small Rossby number assumption behind geostrophy.

B3 Coastal Jet

For the anisotropic coastal jet, the momentum equations are (A17), for convenience restated here in the appendix notation

$$f\hat{v}_o = \frac{\partial}{\partial x}|_b M_o$$
$$u_o \frac{\partial}{\partial x}|_b \hat{v}_o + \hat{v}_o \frac{\partial}{\partial Y}|_b \hat{v}_o + f u_o = -\frac{\partial}{\partial Y}|_b M_o, \tag{B13}$$

where u_o and $\hat{v}_o$ are independent of the rapid time scale. Eq. (B5) implies

$$\hat{v}_o(x, Y, b, T) = \hat{v}_o(x, Y, b_o, T), \tag{B14}$$

with a similar equation holding for u_o. Converting the horizontal derivatives from buoyancy surfaces to geopotential surfaces leads to

$$f\hat{v}_o = \frac{\partial}{\partial x} p_o$$
$$u_o \frac{\partial}{\partial x}\hat{v}_o + \hat{v}_o \frac{\partial}{\partial Y}\hat{v}_o + w_o \frac{\partial}{\partial z}\hat{v}_o + f u_o = -\frac{\partial}{\partial Y} p_o, \tag{B15}$$

where the derivates are now taken on geopotential surfaces.

The coastal jet equations in geopotential coordinates are:

$$f\overline{v}^t = \frac{\partial}{\partial x}\overline{p}^t$$
$$\overline{u}^t \frac{\partial}{\partial x}\overline{v}^t + \overline{v}^t \frac{\partial}{\partial y}\overline{v}^t + \overline{w}^t \frac{\partial}{\partial z}\overline{v}^t + f\overline{u}^t = -\frac{\partial}{\partial y}\overline{p}^t$$
$$\overline{v}^t - f\overline{u}^t = -\frac{\partial}{\partial y}\overline{p}^t$$
$$\frac{\partial}{\partial z}\overline{p}^t = \overline{b}^t$$
$$\nabla \cdot \overline{\boldsymbol{u}}^t = 0. \tag{B16}$$

Vector multiplying (B16) by the time mean horizontal velocities leads to

$$\nabla \cdot \overline{\boldsymbol{u}}^t \frac{(\overline{v}^t)^2}{2} = -\overline{u}^t \frac{\partial}{\partial x}\overline{p}^t - \overline{v}^t \frac{\partial}{\partial y}\overline{p}^t. \tag{B17}$$

The absence of the rapid QG timescale from the governing equations implies mesoscale dynamics do not participate, as motivated by potential vorticity conservation (A18). Integrating (B17) over a coastal jet volume yields

$$\int_S \overline{\boldsymbol{u}}^t \frac{(\overline{v}^t)^2}{2} \cdot \vec{n} dS = -\int_V [\overline{u}^t \frac{\partial}{\partial x} \overline{p}^t + \overline{v}^t \frac{\partial}{\partial y} \overline{p}^t] dV. \tag{B18}$$

The interpretation of (B17) is quite straight-forward: down or up pressure gradient flow will increase or decrease the kinetic energy flux. We will discuss this form of the energy equation for the coastal jet. If we include potential energy into (B18), it becomes

$$\int_S \overline{\boldsymbol{u}}^t (\frac{(\overline{v}^t)^2}{2} + \overline{p}^t + \overline{h}^t) \cdot \boldsymbol{n} dS = 0, \tag{B19}$$

i.e. total energy is conserved, (B12) having again been used.

Appendix C Mean and Eddy Energy with a Seawater Equation of State

Here we flesh out our full mean and eddy energy derivations. First, the time averaged hydrostatic balance is

$$\frac{\partial}{\partial z} \overline{p}^t = \overline{b(\theta, S, P)}^t, \tag{C1}$$

where the dependencies on potential temperature θ, salinity, S and static pressure $P = P_o - \rho_o g z$ explicitly appear. The quantity P_o is a constant representing average atmospheric sea level pressure and ρ_o is a Boussinesq reference density. We will approximate this balance using

$$\overline{b(\theta, S, P)}^t = b(\overline{\theta}^t, \overline{S}^t, P), \tag{C2}$$

To see this, buoyancy at any one time can be related to the time mean thermodynamic quantities by Taylor expansion

$$b(\theta, S, P) = b(\overline{\theta}^t + \theta', \overline{S}^t + S', P) + b_\theta(\overline{\theta}^t)\theta' + b_S(\overline{S}^t)S' + O(\theta'^2, S'^2, \theta'S'). \tag{C3}$$

The first-order contributions vanish upon time averaging, so to second order we recover (C2). Comparisons between $\overline{b(\theta, S, P)}^t$ and $b(\overline{\theta}^t, \overline{S}^t, P)$ in Fig. C1 show that (C3) and (C2) are good approximations.

Adding and subtracting $\overline{w}^t \overline{b}^t$, in view of (C1), converts (1) to

$$\frac{\partial}{\partial x_i}(\overline{u_i}^t \hat{K}) = -\frac{\partial}{\partial x_i}(\overline{u_i}^t \overline{p}^t) - \overline{\epsilon}^t + \frac{\partial}{\partial x_i}(\nu \frac{\partial}{\partial x_i}\hat{K}) - \overline{u_I}^t \frac{\partial}{\partial x_i}(\overline{u_i' u_I'}^t) + \overline{w}^t \overline{b}^t \tag{C4}$$
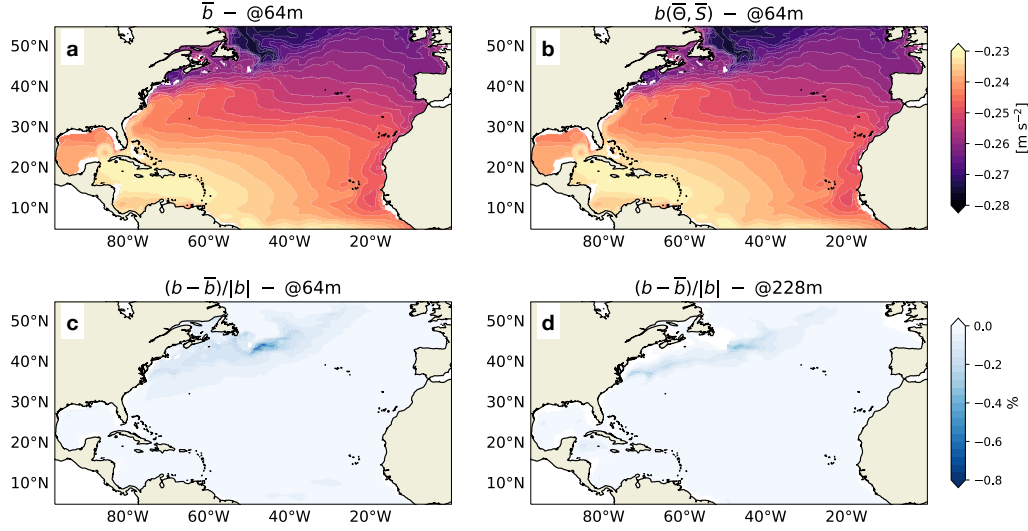
Figure C1. Comparison of time mean buoyancy to buoyancy computed using time mean θ and S. Panel (a) shows the mean buoyancy, and panel (b) the buoyancy based on mean tracers at a depth of 64 m. The relative difference appears on panel (c), highlighting the small error ($< 1\%$) made when computing buoyancy from time mean tracers. Panel (d) shows the relative difference but at 228 m, where the error is even smaller.

Following Young (2010), introducing potential enthalpy,

$$h(\theta, S, P) = \int_{P_o}^{P} \frac{b}{g\rho_o} dP \tag{C5}$$

where the integral assumes fixed potential temperature and salinity. Thus we can define the mean potential enthalpy, following Young (2010)

$$\overline{h}^t = \int_{P_o}^{P} \frac{\overline{b}^t}{g\rho_o} dP, \tag{C6}$$

and its mean advective derivative

$$\overline{\boldsymbol{u}}^t \cdot \nabla \overline{h}^t = -\overline{w}^t \overline{b}^t + \overline{h_\theta}^t \overline{\boldsymbol{u}}^t \cdot \nabla \overline{\theta}^t + \overline{h_S}^t \overline{\boldsymbol{u}}^t \cdot \nabla \overline{S}^t. \tag{C7}$$

The mean tracer equations are

$$\overline{\boldsymbol{u}}^t \cdot \nabla \overline{\chi}^t = -\nabla \cdot \overline{\boldsymbol{u}'\chi'}^t, \tag{C8}$$

where χ is either θ or S, and non-hydrostatic and diffusive processes have been ignored. The tracer derivatives of mean potential enthalpy can be written

$$\bar{h}^t_\chi = \int_{P_o}^P \frac{\bar{b}^t_\chi}{g\rho_o} dP. \tag{C9}$$

From (C7) and (C8), it follows that

$$\nabla \cdot \overline{\boldsymbol{u}}^t \overline{h}^t = -\overline{w}^t \overline{b}^t - \overline{w'b'}^t - \nabla \cdot (\overline{\boldsymbol{u'}\theta'}^t \int_{P_o}^P \frac{\bar{b}^t_\theta}{g\rho_o} dP + \overline{\boldsymbol{u'}S'}^t \int_{P_o}^P \frac{\bar{b}^t_S}{g\rho_o} dP), \tag{C10}$$

where

$$\overline{w'b'}^t = \bar{b}^t_\theta \, \overline{w'\theta'}^t + \bar{b}^t_S \, \overline{w'S'}^t \tag{C11}$$

has been used and second-order tracer derivatives of mean potential enthalpy (e.g. $\bar{h}^t_{\theta S}$) have been ignored.

The exact potential energy equation is

$$\frac{d}{dt}h = -wb + h_\theta \frac{d}{dt}\theta + h_S \frac{d}{dt}S, \tag{C12}$$

so upon time averaging

$$\nabla \cdot \overline{\boldsymbol{u}h}^t = -\overline{wb}^t \tag{C13}$$

to the neglect of non-hydrostatic and diffusive processes. Eq. (C4) can then be written as (3).

Appendix D Diagnosing Kinetic Energy from the MITgcm

We outline here the energy diagnostic method we developed which is robust given the many design parameters involved in the MITgcm. Our method relies heavily on diagnostics traditionally available from the MITgcm. For example, the zonal momentum equation can be written as

$$\frac{\partial}{\partial t}u = -\nabla \cdot \boldsymbol{u}u + fv - \frac{\partial}{\partial x}p + D^u, \tag{D1}$$

where u is the zonal velocity, $\boldsymbol{u}$ is the three dimensional velocity, f the Coriolis parameter, v the meridional velocity, p the pressure and D^u the zonal viscous operator. The MITgcm provides fields of the partial time derivative of u, the combination of the advective terms and the Coriolis acceleration, the pressure gradient and the contributions to the viscous dissipation (there are several). Similar fields can be output for the meridional equation. The kinetic energy equation is developed analytically by multiplying (D1)

by the zonal velocity, performing a similar procedure to the meridional equation and adding them. The MITgcm uses an Adams-Bashforth explicit time stepping for momentum, such that the tendency terms on the right-hand-side of (D1) are evaluated at mid-point in time. They are thus multiplied by their associated velocities $u^{n+1/2} = \frac{1}{2}(u^n + u^{n+1})$ to insure that the time integrated kinetic energy trends are equal to

$$\int_{t_i}^{t_f} \partial_t K \, dt = K(t_f) - K(t_i). \tag{D2}$$

We follow this recipe during model execution using the model momentum diagnostics. A numerical issue is that the MITgcm employs a C grid (Marshall et al., 1997), so that the locations in space of u, v and the various tracers differ. The diagnostic fields balance the budgets of the various quantities at the native grid locations of the variables, e.g. the momentum budget of the zonal velocity is balanced at the zonal velocity grid points, etc. We have opted to balance the energy budget at the tracer points, according to

$$\nabla \cdot \boldsymbol{u} K = \overline{u(\nabla \cdot \boldsymbol{u} u - fv)}^i + \overline{v(\nabla \cdot \boldsymbol{u} v + fu)}^j, \tag{D3}$$

where the overbars $\overline{\cdot}^i$ and $\overline{\cdot}^j$ denote the average of the zonal and meridional momentum neighbors of a given tracer point along the x- and y-axis, respectively. Following a similar procedure for each of the contributors to the momentum equations results in a machine precision kinetic energy balance.

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