Fast warming of the surface ocean under a climatological scenario

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6 Key Points:

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7	• Weakly varying climatological winds reduce upper ocean vertical mixing, affect-
8	ing the redistribution of surface radiative forcing
9	• Coupled to an atmospheric boundary layer, the modeled ocean response to clima-
10	tological winds is to warm up considerably at the surface
11	• Results illustrate the pivotal improvements in air-sea interactions achieved by driv-
12	ing an ocean model with an atmospheric boundary layer

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13 Abstract

We examine various strategies for forcing ocean-only models, focusing primarily on an 14 atmospheric boundary layer model. This surface forcing allows air-sea exchanges to af-15 fect atmospheric temperature and relative humidity, relaxing the assumption of an ar-16 tificially large atmospheric heat capacity made if these variables are prescribed. When 17 exposed to climatological winds, the simulated North Atlantic oceanic temperature warms 18 considerably at the surface as compared to a model with full atmospheric variability. This 19 warming is mainly explained by a weakened upper ocean vertical mixing in response to 20 the weakly varying climatological winds. Specifying the atmospheric temperatures in-21 hibits this warming, but depends on the unrealistic large atmospheric heat capacity. We 22 thus interpret the simulated warmer ocean as a more physically consistent ocean response. 23 We conclude the use of an atmospheric boundary layer model provides many benefits 24 for ocean only modeling, although strategies for maintaining high frequency winds in cli-25 matologies are require. 26

27 **1 Introduction**

Understanding the origin of the low frequency oceanic variability is an open sub-28 ject of research. While it is recognized that the atmosphere drives the ocean circulation 29 on short time scales, its contribution at longer time scales remains debated (Clement et 30 al., 2015; Farneti et al., 2017; Zhang et al., 2016). Studies have attempted to disentan-31 gle the respective role of the ocean and the atmosphere in climate variability from ob-32 servations (McCarthy et al., 2015), but most assess this question with the use of ocean 33 models. A common numerical approach is to compare the oceanic response to a prescribed 34 atmosphere simulated by twin numerical experiments (e.g. Penduff et al., 2011; Sérazin 35 et al., 2015), one driven by a realistic atmosphere, the other by a climatological atmo-36 sphere. We study ocean-only models forced by different surface forcing strategies with 37 the view toward assessing strengths and weaknesses of each. In this study we focus on 38 the behaviour of the oceanic temperature, salinity is under study. 39

Modeling a variable ocean under a specified but variable atmosphere is a useful and efficient idealization. However, Huck and Vallis (2001) have highlighted an important caveat of this approach for the growth of large scale modes of variability in idealized ocean models (Colin de Verdière et al., 1999; Huck et al., 1999). They found that these modes of variability only appear if the ocean model was forced by prescribed fluxes rather than

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a prescribed atmosphere. In the first case (prescribed air-sea fluxes), the ocean is not
as constrained as in the second case where the atmospheric conditions maintain the ocean
in a state close to the forcing conditions. Their results illustrate the limitations associated with a prescribed atmospheric forcing, where the assumption of an infinite heat capacity for the atmosphere inhibits the development of internal ocean dynamics.

Following Huck and Vallis (2001), we wish to assess if similar limitations would be 50 at work for the development of an oceanic state under climatological winds. This ques-51 tion arises from the recognized impacts of the fast varying atmospheric winds on both 52 turbulent air-sea fluxes and upper ocean vertical mixing. Several studies have revealed 53 that the high frequency atmospheric dynamics control a significant portion of both tur-54 bulent air-sea fluxes (Gulev, 1994; Hughes et al., 2012; Jung et al., 2014; Ponte & Rosen, 55 2004; Wu et al., 2016; Zhai et al., 2012) and vertical mixing in the upper ocean (Con-56 dron & Renfrew, 2013; Holdsworth & Myers, 2015; Wu et al., 2016). Fig. 1 (top pan-57 els) compares the high frequency standard deviation of the wind speed $|u| = \sqrt{u^2 + v^2}$ 58 in the North Atlantic between the fully varying winds and the climatological winds. At 59 high latitudes, where the atmospheric dynamics are mostly controlled by transient fea-60 tures like synoptic weather systems, the high frequency standard deviation is 2 to 3 times 61 stronger in the fully varying product. Then, forcing an ocean model with climatologi-62 cal winds is expected to significantly modify air-sea fluxes and upper ocean vertical mix-63 ing, which ultimately leads to an ocean state that is significantly different from the re-64 alistic ocean state. 65

By prescribing the state of the atmosphere, the ocean surface temperature is con-66 strained to remain near the atmospheric temperature. To identify the ocean state that 67 develops under climatological winds, we propose to work with an atmospheric bound-68 ary layer for which the atmospheric temperature and humidity are prognostic variables 69 (CheapAML; Deremble et al., 2013). With such a boundary layer, we relax the assump-70 tion that the atmosphere is a fluid with an infinite heat capacity and allow it to respond 71 to ocean surface structures. We then quantify the impact of climatological winds on the 72 simulated oceanic state by comparing the time evolution of a pair of ocean simulation, 73 one driven by the fully varying winds and the other by climatological winds. A detailed 74 description of the model strategy is given in Section 2. The main differences between the 75 pair experiments using CheapAML are described in Section 3, and we compare these re-76 sults with those obtained with a more traditional representation of air-sea fluxes, i.e. when 77

the atmosphere is prescribed, in Section 4. Finally, we conclude and discuss the results

⁷⁹ in Section 5.

⁸⁰ 2 Numerical Experiments

⁸¹ We use the MIT general circulation model (MITgcm; Marshall et al., 1997) in a ⁸² regional configuration of the North Atlantic: the domain extends from 20°S to 55°N with ⁸³ a horizontal resolution of $\frac{1}{4}^{\circ}$ (see Supporting Information for additional details). We use ⁸⁴ the non-local K-Profile Parametrization (KPP) scheme of Large et al. (1994) with a crit-⁸⁵ ical Richardson number of 0.3 to parametrize the vertical mixing in the upper ocean bound-⁸⁶ ary layer. The mixed layer depth (MLD) computed by this parameterization will be used ⁸⁷ in the heat budget of Section 3.2.

At the surface, different strategies are used to force the ocean model and look at 88 their impact on the ocean dynamics. In a first set of experiments, we couple the ocean 89 model to the atmospheric boundary layer model CheapAML (Deremble et al., 2013). With 90 this approach, we better represent the air-sea exchanges, and we also let the ocean de-91 velop its internal dynamics (not necessarily correlated to a prescribed atmospheric state). 92 In CheapAML, winds are assumed to be the least sensitive atmospheric variable to ocean 93 surface structure. The remaining atmospheric variables, i.e. temperature and humidity, 94 are then advected by these winds and are modified by the air sea fluxes. Over the ocean, 95 the temporal evolution of these atmospheric variables is computed using an advection-96 diffusion equation (see Supporting Information and Deremble et al., 2013). Over land, 97 temperature and humidity are strongly relaxed toward the reanalysis prescribed values. 98 The components of the net heat fluxes at the bottom of the boundary layer model $(Q_{net},$ 99 positive upward) that influence these atmospheric variables are: (i) prescribed downward 100 shortwave radiation, (ii) longwave radiation (the sum of prescribed downward longwave 101 radiations and outgoing longwave radiations), and (iii) latent and sensible heat fluxes, 102 computed with the bulk formula of the Coupled Ocean-Atmosphere Response Experi-103 ment, version 3 (COARE3, Fairall et al., 2003). The atmospheric variables prescribed 104 in CheapAML, i.e. solar shortwave and downward longwave radiation, precipitation, at-105 mospheric temperature and relative humidity over land and zonal and meridional wind 106 components, are applied every 6 hours and derived from the Drakkar forcing set (cf Sup-107 porting Information). 108

This configuration is run for 10 years in two different experiments. In the first one, we use the full range of atmospheric time scales, from sub-daily (6-hourly) to interannual, over the period 1958-1967 for the wind and atmospheric thermodynamic variables on land. In the second one, we use a yearly repeated climatological atmospheric seasonal cycle. To consistently filter the year-to-year atmospheric variability, the climatology has been computed as an ensemble average of all the years between 1958-1977. We name these two experiments AML_FULL and AML_CLIM respectively.

To understand how the atmospheric temperature and humidity in CheapAML re-116 spond to the ocean surface dynamics, two additional experiments are conducted where 117 all atmospheric variables (wind, temperature and humidity) are prescribed. This strat-118 egy is commonly used in the ocean modeling community and it will serve as a reference 119 test case to which we will compare our experiments. With these prescribed atmospheric 120 variables, we compute the air-sea fluxes the same way as the previous cases but there 121 is no feedback on the atmospheric temperature and humidity. As in AML_FULL and AML_CLIM 122 we run two experiments with either fully varying or climatological winds, and are referred 123 to as FORC_FULL and FORC_CLIM, respectively. We compare these experiments in 124 Section 4. 125

¹²⁶ 3 Fully Varying vs Climatological Wind Experiments

Because the Sea Surface Temperature (SST) is an oceanic variable sensitive to air-127 sea exchange, we first compare the simulated SST for the two experiments AML_CLIM 128 and AML_FULL (Fig. 2, top panels) after 10 years of simulation. The yearly averaged 129 SST differences between the two experiments are very large in amplitude, reaching more 130 than 8°C in the subtropical gyre, and spreading over the North Atlantic, north of 20°N. 131 At the center of the subtropical gyre where the largest SST differences are observed, the 132 time evolution of SST over the course of the 10 years of simulation reveals that such large 133 differences are reached quickly, after 5 months, suggesting a fast dynamic response of the 134 ocean. The mechanism that drives the warming of the subtropical gyre in the AML_CLIM 135 experiment is described in the two following sections. 136

3.1 Heat Fluxes

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In our configuration, the components of the net heat fluxes which vary from experiment to experiment are the latent and sensible fluxes, as well as the outgoing longwave radiation associated with the SST (the other components are prescribed). We discuss their respective contribution for the net heat fluxes in the following.

In the experiment with fully varying winds, the time mean and standard deviation 142 of the latent and sensible heat fluxes (computed over the 10 years of simulation) are 117 ± 37 143 and 8 ± 8 W m⁻², respectively. Added together, these fluxes are sufficiently strong to in-144 duce positive (upward) net heat fluxes during the first two months of simulation (Fig. 3, 145 top panel). They contribute to the cooling of the ocean surface at the beginning of the 146 simulation which is consistent with winter time (January-February). In the experiment 147 with climatological winds, the turbulent fluxes are reduced by more than 50% (57±19 148 W m⁻²; 1±1.4 W m⁻² for latent and sensible heat fluxes, respectively), consistent with 149 earlier results (Hughes et al., 2012). As a consequence, the too weak latent plus sensi-150 ble heat fluxes lead to negative (downward) net heat fluxes, contributing to the warm-151 ing of the surface ocean at the beginning of the simulation (top right panel of Fig. 2). 152

The mechanisms that drive this reduction of turbulent air-sea fluxes are further in-153 vestigated by looking at the sensible heat flux amplitude $S = C_d |\mathbf{u}| (SST - T_a)$ as a 154 function of the two main contributing factors, i.e. the wind speed $|\mathbf{u}|$ and the air-sea tem-155 perature difference $(SST-T_a)$ (right panels of Fig. 3). As a response to a weaker wind 156 variance in AML_CLIM, there are no wind stronger than 5 m s⁻¹ (top right panel). How-157 ever, for wind speed weaker than 5 m s⁻¹, the sensible heat fluxes in AML₋CLIM remain 158 weaker than those obtained under fully varying winds, suggesting that the changes in 159 air-sea fluxes are not only driven by the weaker climatological wind speed $|\mathbf{u}|$. The other 160 parameter that contributes to the strength of the sensible heat fluxes is the air-sea tem-161 perature difference $SST - T_a$. In AML_CLIM, the air-sea temperature differences do 162 not exceed $\pm 1^{\circ}$ C (Fig. 3, bottom right panel), while they range from about -2°C to about 163 $+4^{\circ}$ C in AML₋FULL. Under fully varying winds, there are thus oceanic processes that 164 take the ocean surface away from the overlying atmosphere and lead to larger air-sea tem-165 perature differences. We show in Section 3.2 that those processes are associated with 166 upper ocean vertical mixing. 167

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As the ocean surface quickly warms up at the beginning of the simulation when ex-168 posed to climatological winds, the outgoing longwave radiation increases accordingly (out-169 going longwave radiation is proportional to SST^4). The system reaches a new state af-170 ter 5 months with a new SST about 8°C warmer than for the fully varying wind exper-171 iment. Note that the upward longwave radiation is $40-50 \text{ W m}^{-2}$ stronger in the clima-172 tological wind experiment. This balances about 80% of the -60 W m⁻² time mean dif-173 ference in turbulent heat fluxes, thus preventing the SST difference to be greater than 174 8° C. After the 5 months of initial transition, the model slowly drifts toward its new state 175 of equilibrium with an SST trend in the subtropical gyre that is about $+0.15^{\circ}$ C/yr larger 176 than the experiment driven by fully varying winds. 177

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3.2 Oceanic Vertical Mixing

We now describe the differences between the two equilibria in terms of oceanic dy-179 namics. For this purpose, we performed a heat budget following Peter et al. (2006) for 180 the box at the center of the subtropical gyre where the SST difference is the largest. The 181 temperature tendency $\partial_t \langle T \rangle$ within the mixed layer h(x, y, t) (computed by the KPP pa-182 rameterization) is decomposed into advective terms, a flux term and dissipation terms 183 (cf Supporting Information for more details). Comparing the results of this heat bud-184 get for the two experiments using CheapAML (Fig. 4), the most important difference 185 in the processes controlling the temperature is found to be the upper ocean vertical mix-186 ing. 187

During winter, the atmospheric storms that contribute to high frequency wind speed 188 variance in AML_FULL induce a mixing in the upper 40-50 m, redistributing the sur-189 face heat fluxes downward, leading to a weak temperature tendency (Fig. 4, top panel). 190 During summer, the depth of the mixed layer reduces to about 10 m due to the weaker 191 wind variance and the surface heat fluxes increase. The temperature tendency induced 192 by the surface heating $-\frac{Q_{net}}{\rho_0 C_o h}$ thus increased to about 0.5 °C/day, but is balanced by 193 dissipative and advective processes, such that the total temperature tendency within the 194 mixed layer does not exceed 0.1 $^{\circ}C/day$. The vertical diffusion at the bottom of the mixed 195 layer $\frac{1}{h}K_z\partial_z T|_{z=-h}$ controls most of this balance. It explains a significant fraction of the 196 residual between the total temperature tendency and the action of surface heating resid. =197 $\partial_t \langle T \rangle + \frac{Q_{net}}{\rho_0 C_n h}$, while the advective terms, the horizontal dissipative term and the en-198 trainment term are at least one order of magnitude smaller. 199

In AML_CLIM by contrast (Fig. 4, bottom panel), the depth of the mixed layer 200 is much smaller and relatively constant through the year, with values that do not exceed 201 15 m during winter. As a consequence, the temperature tendency induced by air-sea fluxes 202 exceeds $0.5 \,^{\circ}C/day$ most of the time. In this experiment however, the diffusive fluxes 203 at the bottom of the mixed layer explain only a small fraction of the residual. This re-204 sult suggests that the oceanic processes that balance the excess of heat induced by the 205 surface heating have changed. We suspect night time convection comes into play, but 206 we cannot draw firm conclusions with the 5-day averaged outputs used in this study. 207

The large reduction in mixed layer depth is observed all over the domain, where 208 the maximum depth of the mixed layer computed by the KPP parameterization is about 209 3 to 4 times shallower North of 20°N in AML_CLIM (Fig. 1, bottom panels). This spa-210 tial pattern resembles the wind variance (top panels). In fact, in AML_FULL, the high 211 frequency wind variance induces a vertical velocity shear $\partial_z \mathbf{u}$ in the upper layers, that 212 destabilizes the ocean: the Richardson number $R_i = \frac{N^2}{\partial_z \mathbf{u}}$ (with N^2 the buoyancy fre-213 quency) decreases, and ultimately falls below a critical value ($R_i = 0.3$ in our config-214 uration). For such a low Richardson number, the vertical structure of the ocean is un-215 stable to shear instability, and vertical mixing occurs. In AML_CLIM by contrast, the 216 vertical velocity shear is much weaker in response to the weaker high frequency variance 217 of the climatological winds, and the ocean is more stable. If less mixing occurs in the 218 upper ocean, the surface heat fluxes induce a fast warming of the upper ocean. 219

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4 A Prescribed Atmosphere

Most numerical studies that use climatological atmospheric fields do not use an at-221 mosphere boundary layer model to compute the atmospheric temperature and humid-222 ity (Grégorio et al., 2015; Penduff et al., 2011; Sérazin et al., 2015). In order to compare 223 our results with these kind of experiments, we perform two additional runs (FORC_FULL 224 and FORC_CLIM) for which all atmospheric fields (including temperature and humid-225 ity) are prescribed. After 10 years of simulation, the SST difference between FORC_FULL 226 and FORC_CLIM share a relatively similar spatial pattern with the AML experiments 227 (Fig. 2, left panels), but those differences are much weaker, and do not exceed 2.5° C in 228 the subtropical gyre. Note that, consistent with the temperature difference observed be-229 tween the two AML experiments, the SST difference observed in the subtropical gyre 230 is also reached after only 5 months of simulation (Fig. 2, right panels). 231

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From these comparisons, we conclude that prescribing the atmospheric state re-232 duces the effects of climatological winds on the temperature of the upper ocean layers. 233 The underlying physical basis remains however questionable. Due to the weak high fre-234 quency variance of the climatological winds, the vertical ocean mixing remains weak. The 235 difference in the mixed layer depth computed by the KPP scheme is very similar to what 236 is shown in Fig. 1 for the AML experiments. As a consequence, the upper ocean tends 237 to warm up in FORC_CLIM, but the atmosphere does not. In fact, because the atmo-238 spheric temperature is prescribed in this experiment, the ocean-atmosphere temperature 239 differences increase, as shown in Fig. 3 (bottom right panel) for the subtropical gyre. In 240 the FORC_CLIM experiment, the $SST - T_a$ difference is always positive and roughly 241 2-4°C. This illustrates the damping role of the atmosphere on the surface ocean temper-242 atures, constraining the upper ocean warming tendency. This increased $SST-T_a$ dif-243 ference counteracts the effect of climatological winds on the turbulent air-sea fluxes, such 244 that for the same wind speed amplitude, the sensible heat fluxes are much larger in FORC_CLIM 245 than in AML_CLIM and always positive (Fig. 3, top right panel). A similar scenario hap-246 pens for the latent heat fluxes, which results in turbulent heat fluxes in the FORC_CLIM 247 experiment which are of comparable amplitude those found in the FORC_FULL exper-248 iment (Fig. 3, bottom panel). This is not consistent with previous studies (Gulev, 1994, 249 1997; Hughes et al., 2012), where the lack of high frequency wind variance is expected 250 to significantly reduce the magnitude of turbulent air-sea fluxes. In the AML experiments 251 by contrast, since the atmospheric temperature follows the surface ocean warming we 252 have shown that the reduced turbulent heat fluxes under climatological winds are con-253 sistently captured and balanced by increased outgoing longwave radiations. Since this 254 latter scenario has better physical consistency, we argue that, when exposed to an ar-255 tificial climatological atmosphere, the ocean response is to warm up considerably at the 256 surface. 257

258 5 Conclusion

We have revisited in this study the model strategy used to represent air-sea interactions in ocean-only models. The analysis of an ocean model in a regional North Atlantic configuration coupled to an atmospheric boundary layer model shows that the use of climatological winds leads to a fast warming of the upper ocean layers, reaching up to 8 °C in the North Atlantic subtropical gyre after only 5 months of simulation. Although

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the oceanic state at the end of the simulation differs from the oceanic state in a companion experiment driven by fully varying winds, we argue that those changes are physically consistent, and interpret the simulated oceanic state as likely when exposed to an artificial climatological atmosphere. A side effect of climatological averaging is to act as a low-pass filter, and the resulting wind product does not contain fast varying synoptic weather systems. Those high frequency wind events play a significant role for both turbulent air-sea fluxes and upper ocean vertical mixing.

In the climatological scenario, the system reaches a new balance for which the warmer 271 ocean surface induced by weak ocean vertical mixing is balanced by increased outgoing 272 longwave radiation. This balance is quite different from the equilibrium reached in the 273 traditional approach (where the atmospheric state is prescribed). In the latter case, the 274 ocean vertical mixing remains weak, but the effects of the climatological winds on the 275 turbulent air-sea fluxes are balanced by an increased contribution of the difference be-276 tween the warming ocean and the prescribed atmosphere. The turbulent air-sea fluxes 277 are strengthened and the atmosphere controls the surface ocean dynamics by damping 278 the surface warming tendency. However, this 'traditional' approach relies on the unre-279 alistic assumption of an infinite heat capacity for the atmosphere, whereas the ocean is 280 more appropriately approximated as the slow climate component since its heat capac-281 ity is much larger than that of the atmosphere. Those results suggest that the use of an 282 atmospheric boundary layer model rather than a prescribed atmosphere when decoupling 283 an ocean model from the atmosphere is a more suitable strategy to better represent the 284 physics of the air-sea turbulent fluxes. Finally, improvements in the salinity have been 285 seen under the CheapAML scenario. This will be the subject of a further contribution. 286

To isolate the oceanic dynamics from the low frequency atmospheric forcing when 287 an ocean model is coupled to an atmospheric boundary layer model, one thus needs a 288 wind product that does not contain any interannual and longer variability but which ac-289 counts for the fast varying winds. A 'normal' year strategy similar to that proposed by 290 Large and Yeager (2004) to force the Coordinated Ocean-ice Reference Experiments (COREs, 291 Griffies et al., 2009), which consists of using the atmospheric state of a given year and 292 to repeat this forcing every year, is an attractive approach to conduct such sensitivity 293 experiments. Note however that high frequency white noise atmospheric forcing might 294 also induce a low frequency oceanic variability (Frankignoul & Hasselmann, 1977; Frankig-295 noul et al., 1997). 296

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Figure 1. (Top) Seasonal standard deviation $\sigma \text{ [m s}^{-1}\text{]}$ of the wind speed $||u|| = \sqrt{u^2 + v^2}$ for the fully varying (left) and the climatological (right) winds. Data are from the Drakkar Forcing Set, version 4.4. The climatology has been computed over the period 1958-1977, and the seasonal standard deviation of the fully varying winds has been averaged over this period. (Bottom) Maximum depth of the mixed layer [m] computed by the KPP parameterization during the first year of simulation for the AML_FULL (left) and the AML_CLIM (right) experiments.



25 - 0

20 - -5

1958

1960

1965

Time [yr]

2

20°W

5

10

15°S

-10

80°W

60°W

-5

 $40^{\circ}W$

0

°C





Figure 3. (Top left) Spatially averaged net heat fluxes Q_{net} (positive upward, [W m⁻²]) at the center of the subtropical gyre (green box of Fig. 2, left panels) for the AML_FULL (drak gray line) and the AML_CLIM (light gray line) experiments, and the associated contribution of the latent plus sensible heat fluxes (blue and green lines, respectively). (Bottom left) Same as top left panel but for the FORC_FULL and the FORC_CLIM experiments. (Right) Scatter plots of the sensible heat fluxes as a function of the wind speed (top) and the air-sea temperature difference SST-T_a (bottom) for the experiment AML_FULL (black), AML_CLIM (red) and FORC_CLIM (blue). The data correspond to the spatial average within the green box of Fig. 2, left panels, and for the 10 years long time series.



Figure 4. Contribution of the net heat fluxes $-\frac{Q_{net}}{\rho_0 C_p h}$ (red line, Q_{net} positive upward) and the vertical diffusion at the bottom of the mixed layer $\frac{1}{h}K_z\partial_z T|_{z=-h}$ (green line) for the temperature tendency $\partial_t \langle T \rangle$ (blue line) within the mixed layer (see Supporting Informations) for the AML_FULL (top) and the AML_CLIM (bottom) experiments. The total contribution of dissipative terms is computed as a residual $resid. = \partial_t \langle T \rangle + \frac{Q_{net}}{\rho_0 C_p h}$, and is shown in dark gray. The light thine gray line represents the depth of the mixed layer, with the associated axe on the right. The budget is made at the center of the subtropical gyre (green box of Fig. 2, left panels).